

# Local and Global Solutions for the nonlinear Schrödinger-Boussinesq System \*

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## Abstract

We study the local and global well-posedness of the initial-value problem for the nonlinear Schrödinger-Boussinesq System. Local existence results are proved for the three initial data in Sobolev spaces of negative indices. Global results are proved using the arguments of Colliander Holmer and Tzirakis (2006 Arxiv preprint math.AP/0603595).

## 1 Introduction

In this paper we consider the initial value problem (IVP) for the Schrödinger-Boussinesq system (hereafter referred to as the  $SB$ -system)

$$\begin{cases} iu_t + u_{xx} = vu, \\ v_{tt} - v_{xx} + v_{xxxx} = (|u|^2)_{xx}, \\ u(0, x) = u_0(x); v(0, x) = v_0(x); v_t(0, x) = (v_1)_x(x), \end{cases} \quad (1)$$

where  $x \in \mathbb{R}$  and  $t > 0$ .

Here  $u$  and  $v$  are respectively a complex valued and a real valued function defined in space-time  $\mathbb{R}^2$ . The  $SB$ -system is considered as a model of interactions between short and intermediate long waves, which is derived in describing the dynamics of Langmuir soliton formation and interaction in a plasma [20] and diatomic lattice system [23]. The short wave term  $u(x, t) : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C}$  is described by a Schrödinger type equation with a

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potential  $v(x, t) : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  satisfying some sort of Boussinesq equation and representing the intermediate long wave.

The nonlinear Schrödinger (NLS) equation models a wide range of physical phenomena including self-focusing of optical beams in nonlinear media, propagation of Langmuir waves in plasmas, etc. For an introduction in this topic, we refer the reader to [18]. Boussinesq equation as a model of long waves was originally derived by Boussinesq [5] in his study of nonlinear, dispersive wave propagation. We should remark that it was the first equation proposed in the literature to describe this kind of physical phenomena. This equation was also used by Zakharov [25] as a model of nonlinear string and by Falk *et al* [8] in their study of shape-memory alloys.

Our principal aim here is to study the well-posedness of the Cauchy problem for the  $SB$ -system (1). We refer to the expression “local well-posedness” in the sense of Hadamard, that is, the solution uniquely exists in a certain time interval (unique existence), the solution has the same regularity as the initial data in a certain time interval (persistence), and the solution varies continuously depending upon the initial data (continuous dependence). Global well-posedness requires that the same properties hold for all time  $t > 0$ . Natural spaces for the initial data are the classical Sobolev spaces  $H^s(\mathbb{R})$ ,  $s \in \mathbb{R}$ , which are defined as the completion of the Schwartz class  $\mathcal{S}(\mathbb{R})$  with respect to the norm

$$\|f\|_{H^s(\mathbb{R})} = \|(1 + \xi^2)^{s/2} \widehat{f}\|_{L^2(\mathbb{R})}.$$

Concerning the local well-posedness question, some results has been obtained for the  $SB$ -system (1). Linares and Navas [17] proved that (1) is locally well-posedness for initial data  $u_0 \in L^2(\mathbb{R})$ ,  $v_0 \in L^2(\mathbb{R})$ ,  $v_1 = h_x$  with  $h \in H^{-1}(\mathbb{R})$  and  $u_0 \in H^1(\mathbb{R})$ ,  $v_0 \in H^1(\mathbb{R})$ ,  $v_1 = h_x$  with  $h \in L^2(\mathbb{R})$ . Moreover, by using some conservations laws, in the latter case the solutions can be extended globally. Yongqian [24] established a similar result when  $u_0 \in H^s(\mathbb{R})$ ,  $v_0 \in H^s(\mathbb{R})$ ,  $v_1 = h_{xx}$  with  $h \in H^s(\mathbb{R})$  for  $s \geq 0$  and assuming  $s \geq 1$  these solutions are global.

Since scaling argument cannot be applied to the Boussinesq-type equations to obtain a critical notion it is not clear what is the lower Sobolev index  $s$  for which one has local (or maybe global) well-posedness. To obtain some idea on which spaces we should expect well-posedness, we recall some results concerning the Schrödinger and Boussinesq equations.

For the single cubic nonlinear Schrödinger (NLS) equation

$$iu_t + u_{xx} + |u|^2u = 0,$$

Y. Tsutsumi [22] established local and global well-posedness for data in  $L^2(\mathbb{R})$ . Moreover, by using the scaling and Galilean invariance with the special soliton solutions, it was proved by Kenig, Ponce and Vega [15] that the focusing cubic (NLS) equation is not locally-well posed below  $L^2(\mathbb{R})$ . This ill-posed result is in the sense that the data-solution map is not uniformly continuous. Recently, Christ, Colliander and Tao [7] have obtained similar results for defocusing (NLS) equations. For the case of quadratics NLS

$$iu_t + u_{xx} + u^2 = 0 \quad (2)$$

$$iu_t + u_{xx} + \bar{u}^2 = 0, \quad (3)$$

$$iu_t + u_{xx} + u\bar{u} = 0 \quad (4)$$

where  $\bar{u}$  denotes the complex conjugate of  $u$ , Kenig, Ponce and Vega [14] have proved local well-posedness for data in  $H^s(\mathbb{R})$  with  $s > -3/4$  for (2)-(3) and  $s > -1/4$  for (4). This result is sharp, in the sense that we cannot lower these Sobolev indices using the techniques of [14].

On the other hand, in the case of the Boussinesq equation

$$\begin{cases} v_{tt} - v_{xx} + v_{xxx} + (f(v))_{xx} = 0, & x \in \mathbb{R}, t > 0, \\ v(0) = \phi; v_t(0) = \psi. \end{cases} \quad (5)$$

Bona and Sachs [3], using Kato's abstract theory for quasilinear evolution equation, showed local well-posedness for  $f \in C^\infty$  and initial data  $\phi \in H^{s+2}(\mathbb{R})$ ,  $\psi \in H^{s+1}(\mathbb{R})$  with  $s > \frac{1}{2}$ . Tsutsumi and Matabashi [21] established a similar result when  $f(u) = |u|^{p-1}u$ ,  $p > 1$  and  $\phi \in H^1(\mathbb{R})$ ,  $\psi = \chi_{xx}$  with  $\chi \in H^1(\mathbb{R})$ . These results were improved by Linares [16] who proved that (5) is locally well-posedness when  $f(u) = |u|^{p-1}u$ ,  $1 < p < 5$ ,  $\phi \in L^2(\mathbb{R})$ ,  $\psi = h_x$  with  $h \in H^{-1}(\mathbb{R})$  and  $f(u) = |u|^{p-1}u$ ,  $1 < p < 5$ ,  $\phi \in H^1(\mathbb{R})$ ,  $\psi = h_x$  with  $h \in L^2(\mathbb{R})$ . Moreover, assuming smallness in the initial data, it was proved that these solutions can be extended globally in  $H^1(\mathbb{R})$ . The main tool used in [16] was the Strichartz estimates satisfied by solutions of the linear problem. Finally, using the techniques of [14], Farah [10] proved local well-posedness for  $f = u^2$ ,  $\phi \in H^s(\mathbb{R})$ ,  $\psi = h_x$  with  $h \in H^{s-1}(\mathbb{R})$  and  $s > -1/4$ . Again, this last result is sharp in the same sense as above.

The local well-posedness for single dispersive equations with quadratic nonlinearities has been extensively studied in Sobolev spaces with negative indices. The proof of these results are based in the Fourier restriction

norm approach introduced by Bourgain [4] in his study of the nonlinear Schrödinger equation  $iu_t + u_{xx} + u|u|^{p-2} = 0$ , with  $p \geq 3$  (NLS) and the Korteweg-de Vries equation  $u_t + u_{xxx} + u_x u = 0$  (KdV). This method was further developed by Kenig, Ponce and Vega in [13] for the KdV equation and [14] for the quadratic NLS  $iu_t + u_{xx} + u^2 = 0$ ,  $iu_t + u_{xx} + u\bar{u} = 0$ , where  $\bar{u}$  denotes the complex conjugate of  $u$ , in one spatial dimension and in spatially continuous and periodic case.

The original Bourgain method makes extensive use of the Strichartz inequalities in order to derive the bilinear estimates corresponding to the non-linearity. On the other hand, Kenig, Ponce and Vega simplified Bourgain's proof and improved the bilinear estimates using only elementary techniques, such as Cauchy-Schwarz inequality and simple calculus inequalities.

Both arguments also use some arithmetic facts involving the symbol of the linearized equation. For example, the algebraic relation for quadratic NLS  $iu_t + u_{xx} + u^2 = 0$  is given by

$$2|\xi_1(\xi - \xi_1)| \leq |\tau - \xi^2| + |(\tau - \tau_1) - (\xi - \xi_1)^2| + |\tau_1 - \xi_1^2|. \quad (6)$$

Then splitting the domain of integration in the sets where each term of the right side of (6) is the biggest one, Kenig, Ponce and Vega made some cancellation in the symbol in order to use their calculus inequalities (see Lemma 3.1) and a clever change of variables to established their crucial estimates.

This same kind of technique was used for the Boussinesq equation. However, we do not have good cancellations on the Boussinesq symbol. To overcome this difficulty, we observe that the dispersion in the Boussinesq case is given by the symbol  $\sqrt{\xi^2 + \xi^4}$  and this is in some sense related with the Schrödinger symbol (see Lemma 3.2 below). Therefore, we can modify the symbols and work only with the algebraic relations for the Schrödinger equation already used in Kenig, Ponce and Vega [14] in order to derive our relevant bilinear estimates.

Taking into account the sharp local well-posedness results obtained for the quadratic (NLS) and Boussinesq equations it is natural to ask whether the  $SB$ -system is, at least, locally well-posed for initial data  $(u_0, v_0, v_1) \in H^s(\mathbb{R}) \times H^s(\mathbb{R}) \times H^{s-1}(\mathbb{R})$  with  $s > -1/4$ . Here we answer affirmatively this question. Indeed, we obtain local well-posedness for weak initial data  $(u_0, v_0, v_1) \in H^k(\mathbb{R}) \times H^s(\mathbb{R}) \times H^{s-1}(\mathbb{R})$  for various values of  $k$  and  $s$ . The scheme of proof used to obtain our results is in the same spirit as the one implemented by Ginibre, Y. Tsutsumi and Velo [11] to establish their results

for the Zakharov system

$$\begin{cases} iu_t + u_{xx} = vu, \\ \sigma v_{tt} - v_{xx} = (|u|^2)_{xx}, \\ u(0, x) = u_0(x); v(0, x) = v_0(x); v_t(0, x) = v_1, \end{cases} \quad (7)$$

where  $x \in \mathbb{R}$  and  $t > 0$ .

In [1] it was shown that by a limiting procedure, as  $\sigma \rightarrow 0$ , the solution  $u_\sigma$  to (7) converges in a certain sense to the unique solution for cubic (NLS). Hence it is natural to expect that the system (7) is well-posed for  $u_0 \in L^2(\mathbb{R})$ . In fact, for the case  $\sigma = 1$ , in [11] it is shown that (7) is local well-posedness for  $(u_0, v_0, v_1) \in L^2(\mathbb{R}) \times H^{-1/2}(\mathbb{R}) \times H^{-3/2}(\mathbb{R})$ . Moreover, Holmer [12] shows that the one-dimensional local theory of [11] is effectively sharp, in the sense that for  $(k, s)$  outside the range given in [11], there exists ill-posedness results for the Zakharov system (7). In particular, we cannot have local well-posedness for the initial data in Sobolev spaces of negative index.

Note that the system (7) is quite similar to the *SB*-system. In fact, taking  $\sigma = 1$  and adding  $v_{xxxx}$  on the left hand side of the second equation of (7) we obtain (1). In other words, the intermediate long wave in (7) is described by a wave equation instead of a Boussinesq equation.

Despite such similarity, there are strong differences in the local theory. According to Theorem 1.1 stated below, it is possible to prove that the system (1) is locally well-posed for initial data  $(u_0, v_0, v_1) \in H^s(\mathbb{R}) \times H^s(\mathbb{R}) \times H^{s-1}(\mathbb{R})$  with  $s > -1/4$ , which is not the case for the system (7). Therefore, in the sense of the local theory, we can say that the *SB*-system (1) is better behaved than the Zakharov system (7). This is due basically to the fact that (1) has more dispersion than (7).

To describe our results we define next the  $X_{s,b}^S$  and  $X_{s,b}^B$  spaces related respectively to the Schrödinger and Boussinesq equations. For the first equation, these spaces were introduced in [4]. In the case of Boussinesq equation, the  $X_{s,b}^B$  with  $b = \frac{1}{2}$ , were first defined by Fang and Grilakis [9] for the Boussinesq-type equations in the periodic case. Using these spaces and following Bourgain's argument introduced in [4] they proved local well-posedness for (1) with the spatial variable in the unit circle assuming  $u_0 \in H^s$ ,  $u_1 \in H^{-2+s}$ , with  $0 \leq s \leq 1$  and  $|f(u)| \leq c|u|^p$ , with  $1 < p < \frac{3-2s}{1-2s}$  if  $0 \leq s < \frac{1}{2}$  and  $1 < p < \infty$  if  $\frac{1}{2} \leq s \leq 1$ . Moreover, if  $u_0 \in H^1$ ,  $u_1 \in H^{-1}$  and  $f(u) = \lambda|u|^{q-1}u - |u|^{p-1}u$ , with  $1 < q < p$  and  $\lambda \in \mathbb{R}$  then the solution is global.

Next we give the precise definition of the  $X_{s,b}^S$  and  $X_{s,b}^B$  spaces in the continuous case.

**Definition 1.1** For  $s, b \in \mathbb{R}$ ,  $X_{s,b}^S$  denotes the completion of the Schwartz class  $\mathcal{S}(\mathbb{R}^2)$  with respect to the norm

$$\|F\|_{X_{s,b}^S} = \|\langle \tau + \xi^2 \rangle^b \langle \xi \rangle^s \tilde{F}\|_{L_{\tau,\xi}^2}$$

where  $\sim$  denotes the time-space Fourier transform and  $\langle a \rangle \equiv 1 + |a|$ .

**Definition 1.2** For  $s, b \in \mathbb{R}$ ,  $X_{s,b}^B$  denotes the completion of the Schwartz class  $\mathcal{S}(\mathbb{R}^2)$  with respect to the norm

$$\|F\|_{X_{s,b}^B} = \|\langle |\tau| - \gamma(\xi) \rangle^b \langle \xi \rangle^s \tilde{F}\|_{L_{\tau,\xi}^2}$$

where  $\gamma(\xi) \equiv \sqrt{\xi^2 + \xi^4}$ .

We will also need the localized  $X_{s,b}^S$  and  $X_{s,b}^B$  spaces defined as follows

**Definition 1.3** For  $s, b \in \mathbb{R}$  and  $T \geq 0$ ,  $X_{s,b}^{S,T}$  (resp.  $X_{s,b}^{B,T}$ ) denotes the space endowed with the norm

$$\|u\|_{X_{s,b}^{S,T}} = \inf_{w \in X_{s,b}^S} \left\{ \|w\|_{X_{s,b}^S} : w(t) = u(t) \text{ on } [0, T] \right\}.$$

(resp. with  $X_{s,b}^B$  instead of  $X_{s,b}^S$ )

Now state the main results of this paper.

**Theorem 1.1** Let  $1/4 < a < 1/2 < b$ . Then, there exists  $c > 0$ , depending only on  $a, b, k, s$ , such that

$$(i) \quad \|uv\|_{X_{k,-a}^S} \leq c \|u\|_{X_{k,b}^S} \|v\|_{X_{s,b}^B}.$$

holds for  $|k| - s \leq a$ .

$$(ii) \quad \|u_1 \bar{u}_2\|_{X_{s,-a}^B} \leq c \|u_1\|_{X_{k,b}^S} \|u_2\|_{X_{k,b}^S}.$$

holds for

- $s - k \leq a$ , if  $s > 0$  and  $k > 0$ ;
- $s + 2|k| \leq a$ ,  $2|k| \leq a$ , if  $s > 0$  and  $k \leq 0$ ;
- $s + 2|k| \leq 1/2$ ,  $2|k| \leq a$ , if  $s \leq 0$  and  $k \leq 0$ .

**Theorem 1.2** *Let  $k > -1/4$ . Then for any  $(u_0, v_0, v_1) \in H^k(\mathbb{R}) \times H^s(\mathbb{R}) \times H^{s-1}(\mathbb{R})$  provided*

(i)  $|k| - 1/2 < s < 1/2 + 2k$  for  $k \leq 0$ ,

(ii)  $k - 1/2 < s < 1/2 + k$  for  $k > 0$ ,

there exist  $T = T(\|u_0\|_{H^k}, \|v_0\|_{H^s}, \|v_1\|_{H^{s-1}})$ ,  $b > 1/2$  and a unique solution  $(u, v)$  of the IVP (1), satisfying

$$u \in C([0, T] : H^k(\mathbb{R})) \cap X_{k,b}^{S,T} \text{ and } v \in C([0, T] : H^s(\mathbb{R})) \cap X_{s,b}^{B,T}.$$

Moreover, the map  $(u_0, v_0, v_1) \mapsto (u(t), v(t))$  is locally Lipschitz from  $H^k(\mathbb{R}) \times H^s(\mathbb{R}) \times H^{s-1}(\mathbb{R})$  into  $C([0, T] : H^k(\mathbb{R}) \times H^s(\mathbb{R}))$ .

Next we obtain bilinear estimates for the case  $s = 0$  and  $b, b_1 < 1/2$ . These estimates will be useful to establish global solutions.

**Theorem 1.3** *Let  $a, a_1, b, b_1 > 1/4$ , then there exists  $c > 0$  depending only on  $a, a_1, b, b_1$  such that*

(i)  $\|uv\|_{X_{0,-a_1}^S} \leq c \|u\|_{X_{0,b_1}^S} \|v\|_{X_{0,b}^B}$ .

(ii)  $\|u_1 \bar{u}_2\|_{X_{0,-a}^B} \leq c \|u_1\|_{X_{0,b_1}^S} \|u_2\|_{X_{0,b_1}^S}$ .

These are the essential tools to prove the following global result.

**Theorem 1.4** *The SB-system (1) is globally well-posed for  $(u_0, v_0, v_1) \in L^2(\mathbb{R}) \times L^2(\mathbb{R}) \times H^{-1}(\mathbb{R})$  and the solution  $(u, v)$  satisfies for all  $t > 0$*

$$\|v(t)\|_{L^2} + \|(-\Delta)^{-1/2} v_t(t)\|_{H^{-1}} \lesssim e^{((\ln 2)\|u_0\|_{L^2}^2 t)} \max\{\|v_0, v_1\|_{\mathfrak{B}}, \|u_0\|_{L^2}\}.$$

The argument used to prove this result follows the ideas introduced by Colliander, Holmer, Tzirakis [6] to deal with the Zakharov system. The intuition for this Theorem comes from the fact that the nonlinearity for the second equation of the SB-system (1) depends only on the first equation. Therefore, noting that the bilinear estimates given in Theorem 1.2 hold for  $a, a_1, b, b_1 < 1/2$ , it is possible to show that the time existence depends only on the  $\|u_0\|_{L^2}$ . But since this norm is conserved by the flow, we obtain a global solution.

The plan of this paper is as follows: in Section 2, we prove some estimates for the integral equation in the  $X_{s,b}^S$  and  $X_{s,b}^B$  space introduced above. Bilinear estimates are proved in Section 3. Finally, the local and global well-posedness results are treated in Sections 4 and 5, respectively.

## 2 Preliminary Results

First, we remark that for any positive numbers  $a$  and  $b$ , the notation  $a \lesssim b$  means that there exists a positive constant  $\theta$  such that  $a \leq \theta b$ . Also, we denote  $a \sim b$  when,  $a \lesssim b$  and  $b \lesssim a$ .

Consider the free Schrödinger equation

$$iu_t + u_{xx} = 0 \quad (8)$$

the solution for initial data  $u(0) = u_0$

$$u(t) = U(t)u_0 \quad (9)$$

where

$$U(t)u_0 = \left( e^{-it\xi^2} \widehat{u}_0(\xi) \right)^\vee.$$

On the other hand, for the linear Boussinesq equation

$$v_{tt} - v_{xx} + v_{xxxx} = 0 \quad (10)$$

it is well known that the solution for initial data  $v(0) = v_0$  and  $v_t(0) = (v_1)_x$ , is given by

$$u(t) = V_c(t)v_0 + V_s(t)(v_1)_x \quad (11)$$

where

$$\begin{aligned} V_c(t)v_0 &= \left( \frac{e^{it\sqrt{\xi^2+\xi^4}} + e^{-it\sqrt{\xi^2+\xi^4}}}{2} \widehat{v}_0(\xi) \right)^\vee \\ V_s(t)(v_1)_x &= \left( \frac{e^{it\sqrt{\xi^2+\xi^4}} - e^{-it\sqrt{\xi^2+\xi^4}}}{2i\sqrt{\xi^2+\xi^4}} \widehat{(v_1)_x}(\xi) \right)^\vee. \end{aligned}$$

By Duhamel's Principle the solution of system (NLB) is equivalent to

$$\begin{aligned} u(t) &= U(t)u_0 - i \int_0^t U(t-t')(vu)(t')dt' \\ v(t) &= V_c(t)v_0 + V_s(t)(v_1)_x + \int_0^t V_s(t-t')(|u|^2)_{xx}(t')dt'. \end{aligned} \quad (12)$$



Let  $\theta$  be a cutoff function satisfying  $\theta \in C_0^\infty(\mathbb{R})$ ,  $0 \leq \theta \leq 1$ ,  $\theta \equiv 1$  in  $[-1, 1]$ ,  $\text{supp}(\theta) \subseteq [-2, 2]$  and for  $0 < T \leq 1$  define  $\theta_T(t) = \theta(t/T)$ . In fact, to work in the  $X_{s,b}^S$  and  $X_{s,b}^B$  we consider another versions of (12), that is

$$\begin{aligned} u(t) &= \theta(t)U(t)u_0 - i\theta_T(t) \int_0^t U(t-t')(vu)(t')dt' \\ v(t) &= \theta(t)(V_c(t)v_0 + V_s(t)(v_1)_x) + \theta_T(t) \int_0^t V_s(t-t')(|u|^2)_{xx}(t')dt' \end{aligned} \quad (13)$$

and

$$\begin{aligned} u(t) &= \theta_T(t)U(t)u_0 - i\theta_T(t) \int_0^t U(t-t')(vu)(t')dt' \\ v(t) &= \theta_T(t)(V_c(t)v_0 + V_s(t)(v_1)_x) + \theta_T(t) \int_0^t V_s(t-t')(|u|^2)_{xx}(t')dt'. \end{aligned} \quad (14)$$

We use equation (13) (resp. (14)) to study the local (resp. global) well-posedness problem associated to the  $SB$ -system (1).

Note that the integral equations (13) and (14) are defined for all  $(t, x) \in \mathbb{R}^2$ . Moreover if  $(u, v)$  is a solution of (13) or (14) than  $(\tilde{u}, \tilde{v}) = (u|_{[0,T]}, v|_{[0,T]})$  will be a solution of (12) in  $[0, T]$ .

Before proceeding to the group and integral estimates for (13) we introduce the norm

$$\|v_0, v_1\|_{\mathfrak{B}^s}^2 \equiv \|v_0\|_{H^s}^2 + \|v_1\|_{H^{s-1}}^2.$$

For simplicity we denote  $\mathfrak{B}^0$  by  $\mathfrak{B}$  and, for functions of  $t$ , we use the shorthand

$$\|v(t)\|_{\mathfrak{B}^s}^2 \equiv \|v(t)\|_{H^s}^2 + \|(-\Delta)^{-1/2}v_t(t)\|_{H^{s-1}}^2.$$

The following lemmas are standard in this context. The difference here is on the exponent of  $T$  that appears in the group estimates. This exponent together with the growth control of the solution norm  $\|v\|_{\mathfrak{B}}$  will be important for the proof of Theorem 1.4 in  $L^2$ .

**Lemma 2.1 (Group estimates)** *Let  $0 < T \leq 1$ .*

(a) *Linear Schrödinger equation*

(i)  $\|U(t)u_0\|_{C(\mathbb{R};H^s)} = \|u_0\|_{H^s}.$

(ii) *If  $0 \leq b_1 \leq 1$ , then*

$$\|\theta_T(t)U(t)u_0\|_{X_{s,b_1}^S} \lesssim T^{1/2-b_1}\|u_0\|_{H^s}.$$

(b) *Linear Boussinesq equation*

$$(i) \|V_c(t)v_0 + V_s(t)(v_1)_x\|_{C(\mathbb{R};H^s)} \leq \|v_0\|_{H^s} + \|v_1\|_{H^{s-1}}.$$

$$(ii) \|V_c(t)v_0 + V_s(t)(v_1)_x\|_{C(\mathbb{R};\mathfrak{B})} = \|v_0, v_1\|_{\mathfrak{B}}.$$

(iii) *If  $0 \leq b \leq 1$ , then*

$$\|\theta_T(t)(V_c(t)v_0 + V_s(t)(v_1)_x)\|_{X_{s,b}^B} \lesssim T^{1/2-b} (\|v_0\|_{H^s} + \|v_1\|_{H^{s-1}}).$$

**Remark 2.1** *We should notice that the first inequality of item (a) and the second one of item (b) do not have an implicit constant multiplying the right hand side. This will be important in the proof of the global result in  $L^2$  stated in Theorem 1.4, since we will make use of an iterated argument to control the growth of the solution norm.*

**Proof.**

- (a) The first inequality comes from the fact that  $S(\cdot)$  is a unitary group. The second one with  $0 \leq b_1 \leq 1/2$  can be found, for instance, in [6] Lemma 2.1(a). The case  $1/2 < b_1 \leq 1$  can be proved using the same arguments as the one used in the previous case. Since in (b) we apply these same arguments in the context of the Boussinesq equation, we omit the proof of (ii).
- (b) By the definitions of  $V_c(\cdot)$  and  $V_s(\cdot)$  it is easy to see that for all  $t \in \mathbb{R}$

$$\|V_c(t)v_0\|_{H^s} \leq \|v_0\|_{H^s} \text{ and } \|V_s(t)(v_1)_x\|_{H^s} \leq \|v_1\|_{H^{s-1}}.$$

Let  $f(t, x)$  be a solution of the linear Boussinesq equation

$$\begin{cases} f_{tt} - f_{xx} + f_{xxx} = 0, \\ f(0, x) = v_0, \quad f_t(0, x) = (v_1)_x. \end{cases} \quad (15)$$

Let  $J^s = \mathcal{F}^{-1}(1+|\xi|^2)^{s/2}\mathcal{F}$ , for  $s \in \mathbb{R}$ . Applying the operators  $(-\Delta)^{-1}$  and  $J^{-1}$  to the equation (15), multiplying by  $J^{-1}f_t$  and finally integrating with respect to  $x$ , we obtain (after an integration by parts) the following

$$\frac{d}{dt} \left\{ \|f\|_{L^2}^2 + \|(-\Delta)^{-1/2}f_t\|_{H^{-1}}^2 \right\} = 0$$

which implies for all  $t \in \mathbb{R}$

$$\|V_c(t)v_0 + V_s(t)(v_1)_x\|_{\mathfrak{B}} = \|v_0, v_1\|_{\mathfrak{B}}.$$

Now we turn to the proof of the third assertion in (b). A simple computation shows that

$$(\theta_T(t) (V_c(t)v_0 + V_s(t)(v_1)_x))^\sim(\tau, \xi) = \frac{\widehat{\theta}_T(\tau - \gamma(\xi))}{2} \left( \widehat{v}_0(\xi) + \frac{i\xi \widehat{v}_1(\xi)}{\gamma(\xi)} \right) + \frac{\widehat{\theta}_T(\tau + \gamma(\xi))}{2} \left( \widehat{v}_0(\xi) - \frac{i\xi \widehat{v}_1(\xi)}{\gamma(\xi)} \right).$$

Thus, setting  $h_1(\xi) = \widehat{v}_0(\xi) + \frac{i\xi \widehat{v}_1(\xi)}{\gamma(\xi)}$  and  $h_2(\xi) = \widehat{v}_0(\xi) - \frac{i\xi \widehat{v}_1(\xi)}{\gamma(\xi)}$ , we have

$$\begin{aligned} & \|\theta_T (V_c(t)v_0 + V_s(t)(v_1)_x)\|_{X_{s,b}}^2 \leq \\ & \leq \int_{-\infty}^{+\infty} \langle \xi \rangle^{2s} |h_1(\xi)|^2 \left( \int_{-\infty}^{+\infty} \langle |\tau| - \gamma(\xi) \rangle^{2b} \left| \frac{\widehat{\theta}_T(\tau - \gamma(\xi))}{2} \right|^2 d\tau \right) d\xi \\ & + \int_{-\infty}^{+\infty} \langle \xi \rangle^{2s} |h_2(\xi)|^2 \left( \int_{-\infty}^{+\infty} \langle |\tau| - \gamma(\xi) \rangle^{2b} \left| \frac{\widehat{\theta}_T(\tau + \gamma(\xi))}{2} \right|^2 d\tau \right) d\xi. \end{aligned}$$

Since  $||\tau| - \gamma(\xi)| \leq \min \{|\tau - \gamma(\xi)|, |\tau + \gamma(\xi)|\}$  we have

$$\begin{aligned} \|\theta_T (V_c(t)v_0 + V_s(t)(v_1)_x)\|_{X_{s,b}}^2 & \lesssim (\|h_1\|_{H^s}^2 + \|h_2\|_{H^s}^2) \|\theta_T\|_{H_t^b}^2 \\ & \lesssim (\|v_0\|_{H^s} + \|v_1\|_{H^{s-1}})^2 \|\theta_T\|_{H_t^b}^2. \end{aligned}$$

To complete the proof we note that (since  $0 < T \leq 1$ )

$$\begin{aligned} \|\theta_T\|_{H_t^b} & \lesssim \|\theta_T\|_{L^2} + \|\theta_T\|_{\dot{H}_t^b} \\ & \lesssim T^{1/2} \|\theta_1\|_{L^2} + T^{1/2-b} \|\theta_1\|_{\dot{H}_t^b} \\ & \lesssim T^{1/2-b} \|\theta_1\|_{H_t^b}. \end{aligned}$$

■

Next we estimate the integral parts of (13).

**Lemma 2.2 (Integral estimates)** *Let  $0 < T \leq 1$ .*

(a) *Nonhomogeneous linear Schrödinger equation*

(i) *If  $0 \leq a_1 < 1/2$  then*

$$\left\| \int_0^t U(t-t') z(t') dt' \right\|_{C([0,T]; H^s)} \lesssim T^{1/2-a_1} \|z\|_{X_{s,-a_1}^S}.$$

(ii) If  $0 \leq a_1 < 1/2$ ,  $0 \leq b_1$  and  $a_1 + b_1 \leq 1$  then

$$\left\| \theta_T(t) \int_0^t U(t-t')z(t')dt' \right\|_{X_{s,b_1}^S} \lesssim T^{1-a_1-b_1} \|z\|_{X_{s,-a_1}^S}.$$

(b) *Nonhomogeneous linear Boussinesq equation*

(i) If  $0 \leq a < 1/2$  then

$$\left\| \int_0^t V_s(t-t')z_{xx}(t')dt' \right\|_{C([0,T]:\mathfrak{B}^s)} \lesssim T^{1/2-a} \|z\|_{X_{s,-a}^B}.$$

(ii) If  $0 \leq a < 1/2$ ,  $0 \leq b$  and  $a + b \leq 1$  then

$$\left\| \theta_T(t) \int_0^t V_s(t-t')z_{xx}(t')dt' \right\|_{X_{s,b}^B} \lesssim T^{1-a-b} \|z\|_{X_{s,-a}^B}.$$

**Proof.**

- (a) Again we refer the reader to [6] Lemma 2.2(a). Since in (b) we apply these same arguments in the context of the Boussinesq equation, we omit the proof of this item.
- (b) We know that (see [6] inequality (2.13))

$$\left\| \theta_T(t) \int_0^t f(t')dt' \right\|_{L_t^\infty} \lesssim T^{1/2-a} \|f\|_{H_t^{-a}}. \quad (16)$$

First, we will prove that

$$(I) \left\| \theta_T(t) \int_0^t V_s(t-t')z_{xx}(t')dt' \right\|_{L_t^\infty H^s} \lesssim T^{1/2-a} \|z\|_{X_{s,-a}^B}.$$

$$(II) \left\| \theta_T(t)(-\Delta)^{-1/2} \partial_t \int_0^t V_s(t-t')z_{xx}(t')dt' \right\|_{L_t^\infty H^s} \lesssim T^{1/2-a} \|z\|_{X_{s,-a}^B}.$$

To prove (I), we observe that  $\sup_{\xi \in \mathbb{R}} \frac{|\xi|^2}{\gamma(\xi)} < \infty$ . Therefore, using Minkowski inequality and (16) we obtain

$$\left\| \theta_T(t) \int_0^t V_s(t-t')z_{xx}(t')dt' \right\|_{L_t^\infty H^s}$$

$$\begin{aligned}
&\lesssim \left\| \left\| \theta_T(t) \int_0^t e^{it'\gamma(\xi)} (1 + |\xi|^2)^{s/2} z^{\wedge(x)}(t', \xi) dt' \right\|_{L_\xi^2} \right\|_{L_t^\infty} \\
&\quad + \left\| \left\| \theta_T(t) \int_0^t e^{-it'\gamma(\xi)} (1 + |\xi|^2)^{s/2} z^{\wedge(x)}(t', \xi) dt' \right\|_{L_\xi^2} \right\|_{L_t^\infty} \\
&\lesssim T^{1/2-a} \left( \left\| \langle \tau + \gamma(\xi) \rangle^{-a} \langle \xi \rangle^s \tilde{z}(\tau, \xi) \right\|_{L_{\xi, \tau}^2} \right. \\
&\quad \left. + \left\| \langle \tau - \gamma(\xi) \rangle^{-a} \langle \xi \rangle^s \tilde{z}(\tau, \xi) \right\|_{L_{\xi, \tau}^2} \right).
\end{aligned}$$

Since  $|\tau - \gamma(\xi)| \leq \min\{|\tau - \gamma(\xi)|, |\tau + \gamma(\xi)|\}$  and  $a \geq 0$  we obtain inequality (I).

To prove (II) we note that

$$\begin{aligned}
&\left\| \theta_T(t) (-\Delta)^{1/2} \partial_t \int_0^t V_s(t-t') z_{xx}(t') dt' \right\|_{L_t^\infty H^{s-1}} \\
&= \left\| \left\| |\xi|^{-1} (1 + |\xi|^2)^{(s-1)/2} \theta_T(t) \int_0^t \frac{\cos((t-t')\gamma(\xi))}{\gamma(\xi)} \gamma(\xi) |\xi|^2 z^{\wedge(x)}(t', \xi) dt' \right\|_{L_\xi^2} \right\|_{L_t^\infty}.
\end{aligned}$$

Therefore the same arguments used to prove inequality (I) yield (II).

Now, we need to prove the continuity statements. We will prove only for inequality (I), since for (II) it can be obtained applying analogous arguments.

By an  $\varepsilon/3$  argument, it is sufficient to establish this statement for  $z$  belonging to the dense class  $\mathcal{S}(\mathbb{R}^2) \subseteq X_{s, -a}^B$ . A simple calculation shows

$$\partial_t \int_0^t V_s(t-t') z_{xx}(t') dt' = \int_0^t V_c(t-t') z_{xx}(t') dt'.$$

Moreover, with essentially the same proof given above, inequality (I) holds for  $V_c(t-t')$  and  $\|z_{xx}\|_{X_{s, -a}^B}$  instead of  $V_s(t-t')$  and  $\|z\|_{X_{s, -a}^B}$ , respectively. Therefore, by the fundamental Theorem of calculus we have for  $t_1, t_2 \in [0, T]$

$$\left\| \int_0^{t_1} V_s(t_1-t') z_{xx}(t') dt' - \int_0^{t_2} V_s(t_2-t') z_{xx}(t') dt' \right\|_{H^s}$$

$$\begin{aligned}
&= \left\| \int_{t_1}^{t_2} \left( \int_0^t V_c(t-t') z_{xx}(t') dt' \right) dt \right\|_{H^s} \\
&\lesssim (t_2 - t_1) \left\| \theta_T(t) \int_0^t V_c(t-t') z_{xx}(t') dt' \right\|_{L_t^\infty H^s} \\
&\lesssim (t_2 - t_1) \|z_{xx}\|_{X_{s,-a}^B},
\end{aligned}$$

which proves the continuity.

It remains to prove the second assertion. We will use an argument due to [11]. We have for  $a, b \in \mathbb{R}$  such that  $0 \leq a < 1/2$ ,  $0 \leq b$  and  $a + b \leq 1$  (see [11] inequality (3.11))

$$\left\| \theta_T(t) \int_0^t g(t') dt' \right\|_{H_b^t} \leq T^{1-a-b} \|g\|_{H_{-a}^t} \quad (17)$$

A simple calculation shows that

$$\begin{aligned}
&\left( \theta_T(t) \int_0^t V_s(t-t') z_{xx}(t') dt' \right)^{\wedge(x)}(t, \xi) = \\
&= -e^{it\gamma(\xi)} \left( \theta_T(t) \int_0^t h_1(t', \xi) dt' \right) + e^{-it\gamma(\xi)} \left( \theta_T(t) \int_0^t h_2(t', \xi) dt' \right) \\
&\equiv e^{it\gamma(\xi)} w_1^{\wedge(x)}(t, \xi) - e^{-it\gamma(\xi)} w_2^{\wedge(x)}(t, \xi),
\end{aligned}$$

$$\text{where } h_1(t', \xi) = \frac{e^{-it'\gamma(\xi)} |\xi|^2 z^{\wedge(x)}(t', \xi)}{2i\gamma(\xi)} \text{ and } h_2(t', \xi) = \frac{e^{it'\gamma(\xi)} |\xi|^2 z^{\wedge(x)}(t', \xi)}{2i\gamma(\xi)}.$$

Therefore

$$\begin{aligned}
&\left( \theta_T(t) \int_0^t V_s(t-t') z_{xx}(t') dt' \right)^{\sim}(\tau, \xi) = \\
&\quad \widetilde{w}_1(\tau - \gamma(\xi), \xi) - \widetilde{w}_2(\tau + \gamma(\xi), \xi).
\end{aligned}$$

Now using the definition of  $X_{s,b}^B$  we have

$$\left\| \theta_T(t) \int_0^t V_s(t-t') z_{xx}(t') dt' \right\|_{X_{s,b}^B}^2 \leq$$

$$\begin{aligned}
&\leq \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \langle |\tau + \gamma(\xi)| - \gamma(\xi) \rangle^{2b} \langle \xi \rangle^{2s} |\widetilde{w}_1(\tau, \xi)|^2 d\tau d\xi \\
&\quad + \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \langle |\tau - \gamma(\xi)| - \gamma(\xi) \rangle^{2b} \langle \xi \rangle^{2s} |\widetilde{w}_2(\tau, \xi)|^2 d\tau d\xi \\
&\equiv M.
\end{aligned}$$

Since  $\gamma(\xi) \geq 0$  for all  $\xi \in \mathbb{R}$ , we have

$$\max\{|\tau + \gamma(\xi)| - \gamma(\xi), |\tau - \gamma(\xi)| - \gamma(\xi)\} \leq |\tau|.$$

Thus, applying (17) and the fact that  $\sup_{\xi \in \mathbb{R}} \frac{|\xi|^2}{\gamma(\xi)} < \infty$  we obtain

$$\begin{aligned}
M &\lesssim \sum_{j=1}^2 \int_{-\infty}^{+\infty} \langle \xi \rangle^{2s} \|w_j^{\wedge(x)}\|_{H_t^b}^2 \\
&\lesssim T^{1-a-b} \sum_{j=1}^2 \int_{-\infty}^{+\infty} \langle \xi \rangle^{2s} \|h_j\|_{H_t^{-a}}^2 \\
&= T^{1-a-b} \left( \|\langle \tau - \gamma(\xi) \rangle^{-a} \langle \xi \rangle^s \widetilde{z}(\tau, \xi)\|_{L_{\xi, \tau}^2} \right. \\
&\quad \left. + \|\langle \tau + \gamma(\xi) \rangle^{-a} \langle \xi \rangle^s \widetilde{z}(\tau, \xi)\|_{L_{\xi, \tau}^2} \right).
\end{aligned}$$

Since  $|\tau| - \gamma(\xi) \leq \min\{|\tau - \gamma(\xi)|, |\tau + \gamma(\xi)|\}$  and  $a \geq 0$  we obtain the desired inequality. ■

The next lemma says that, for  $b > 1/2$ ,  $X_{s,b}^S$  and  $X_{s,b}^B$  are embedded in  $C(\mathbb{R} : H^s)$ . For the spaces associated to the Schrödinger equation this result is well known in the literature, so we will prove this inclusion only for the  $X_{s,b}^B$  spaces.

**Lemma 2.3** *Let  $b > \frac{1}{2}$ . There exists  $c > 0$ , depending only on  $b$ , such that*

$$\|u\|_{C(\mathbb{R}; H^s)} \leq c \|u\|_{X_{s,b}^B}.$$

**Proof.** First we prove that  $X_{s,b}^B \subseteq L^\infty(\mathbb{R} : H^s)$ . Let  $u = u_1 + u_2$ , where  $\tilde{u}_1 \equiv \tilde{u}\chi_{\{\tau \leq 0\}}$ ,  $\tilde{u}_2 \equiv \tilde{u}\chi_{\{\tau > 0\}}$  and  $\chi_A$  denotes the characteristic function of

the set  $A$ . Then for all  $t \in \mathbb{R}$

$$\begin{aligned} \|u_1(t)\|_{H^s} &= \left\| \left( e^{it\gamma(\xi)} (u_1)^{\wedge(x)} \right)^{\vee(x)}(t, x) \right\|_{H^s} \\ &= \left\| \int_{-\infty}^{+\infty} \left( \left( e^{it\gamma(\xi)} (u_1)^{\wedge(x)} \right)^{\vee(x)} \right)^{\wedge(t)}(\tau, x) e^{it\tau} d\tau \right\|_{H^s} \\ &\leq \int_{-\infty}^{+\infty} \left\| \left( \left( e^{it\gamma(\xi)} (u_1)^{\wedge(x)} \right)^{\vee(x)} \right)^{\wedge(t)}(\tau, x) \right\|_{H^s} d\tau. \end{aligned}$$

Using Cauchy-Schwarz inequality we obtain

$$\|u_1(t)\|_{H^s} \leq \left( \int_{-\infty}^{+\infty} \langle \tau \rangle^{-2b} \right)^{1/2} \left( \int_{-\infty}^{+\infty} \int_{-\infty}^0 \langle \tau + \gamma(\xi) \rangle^{2b} \langle \xi \rangle^{2s} |\tilde{u}(\tau, \xi)|^2 d\tau d\xi \right)^{1/2}.$$

On the hand, by the same arguments

$$\|u_2(t)\|_{H^s} \leq \left( \int_{-\infty}^{+\infty} \langle \tau \rangle^{-2b} \right)^{1/2} \left( \int_{-\infty}^{+\infty} \int_0^{+\infty} \langle \tau - \gamma(\xi) \rangle^{2b} \langle \xi \rangle^{2s} |\tilde{u}(\tau, \xi)|^2 d\tau d\xi \right)^{1/2}.$$

Now, by the fact that  $b > 1/2$ ,  $|\tau + \gamma(\xi)| = \|\tau\| - \gamma(\xi)$  for  $\tau \leq 0$  and  $|\tau - \gamma(\xi)| = \|\tau\| - \gamma(\xi)$  for  $\tau \geq 0$  we have

$$\|u\|_{L^\infty(\mathbb{R}; H^s)} \leq c \|u\|_{X_{s,b}^B}.$$

It remains to show continuity. Let  $t, t' \in \mathbb{R}$  then

$$\begin{aligned} \|u_1(t) - u_1(t')\|_{H^s} &= \left\| \int_{-\infty}^{+\infty} \left( \left( e^{it\gamma(\xi)} (u_1)^{\wedge(x)} \right)^{\vee(x)} \right)^{\wedge(t)}(\tau, x) (e^{it\tau} - e^{it'\tau}) d\tau \right\|_{H^s}. \end{aligned} \quad (18)$$

Letting  $t' \rightarrow t$ , two applications of the Dominated convergence theorem give that the right hand side of (18) goes to zero. Therefore,  $u_1 \in C(\mathbb{R}; H^s)$ . It is clear that the same argument applies to  $u_2$ , which conclude the proof.  $\blacksquare$

We finish this section with the following standard Bourgain-Strichartz estimates. In the following, we denote by  $a+$  a number slightly larger the  $a$ .

**Lemma 2.4** *Let  $\bar{X}_{s,b}^S$  denote the space with norm*

$$\|F\|_{\bar{X}_{s,b}^S} = \|\langle \tau - \xi^2 \rangle^b \langle \xi \rangle^s \tilde{F}\|_{L_{\tau,\xi}^2}.$$

*Therefore*

$$\|u\|_{L_{x,t}^3} \leq c \min\{\|u\|_{X_{0,1/4+}^S}, \|u\|_{\bar{X}_{0,1/4+}^S}\}.$$

*where  $a+$  means that there exists  $\varepsilon > 0$  such that  $a+ = a + \varepsilon$ .*



**Proof.** This estimate is easily obtained by interpolating between

- $\|u\|_{L_{x,t}^6} \leq c \min\{\|u\|_{X_{0,1/2+}^S}, \|u\|_{\bar{X}_{0,1/2+}^S}\}$  (Strichartz inequality. See, for example, Lemma 2.4 in [11]).
- $\|u\|_{L_{x,t}^2} = \|u\|_{X_{0,0}^S} = \|u\|_{\bar{X}_{0,0}^S}$  (by definition).

■

### 3 Bilinear estimates

Before proceeding to the proof of Theorem 1.1, we state some elementary calculus inequalities that will be useful later.

**Lemma 3.1** *For  $p, q > 0$  and  $r = \min\{p, q, p + q - 1\}$  with  $p + q > 1$ , there exists  $c > 0$  such that*

$$\int_{-\infty}^{+\infty} \frac{dx}{\langle x - \alpha \rangle^p \langle x - \beta \rangle^q} \leq \frac{c}{\langle \alpha - \beta \rangle^r}. \quad (19)$$

Moreover, let  $C > 0$ . For  $a_0, a_1 \in \mathbb{R}$ ,  $|a_2| \geq C > 0$  and  $q > 1/2$ , there exists  $c > 0$  such that

$$\int_{-\infty}^{+\infty} \frac{dx}{\langle a_0 + a_1 x + a_2 x^2 \rangle^q} \leq c. \quad (20)$$

**Proof.** See Lemma 4.2 in [11] and Lemma 2.5 in [2].

■

**Lemma 3.2** *There exists  $c > 0$  such that*

$$\frac{1}{c} \leq \sup_{x,y \geq 0} \frac{1 + |x - y|}{1 + |x - \sqrt{y^2 + y}|} \leq c. \quad (21)$$

**Proof.** Since  $y \leq \sqrt{y^2 + y} \leq y + 1/2$  for all  $y \geq 0$  a simple computation shows the desired inequalities.

■

**Remark 3.1** *In view of the previous lemma we have an equivalent way to estimate the  $X_{s,b}^B$ -norm, that is*

$$\|u\|_{X_{s,b}^B} \sim \|\langle |\tau| - \xi^2 \rangle^b \langle \xi \rangle^s \tilde{u}(\tau, \xi)\|_{L_{\tau,\xi}^2}.$$

This equivalence will be important in the proof of Theorem 1.1. As we said in the introduction, the Boussinesq symbol  $\sqrt{\xi^2 + \xi^4}$  does not have good cancellations to make use of Lemma 3.1. Therefore, we modify the symbols as above and work only with the algebraic relations for the Schrödinger equation.

Now we are in position to prove the bilinear estimates stated in Theorem 1.1

**Proof of Theorem 1.1**

- (i) For  $u \in X_{k,b}^S$  and  $v \in X_{s,b}^B$  we define  $f(\tau, \xi) \equiv \langle \tau + \xi^2 \rangle^b \langle \xi \rangle^k \tilde{u}(\tau, \xi)$  and  $g(\tau, \xi) \equiv \langle |\tau| - \gamma(\xi) \rangle^b \langle \xi \rangle^s \tilde{v}(\tau, \xi)$ . By duality the desired inequality is equivalent to

$$|W(f, g, \phi)| \leq c \|f\|_{L_{\xi, \tau}^2} \|g\|_{L_{\xi, \tau}^2} \|\phi\|_{L_{\xi, \tau}^2} \quad (22)$$

where

$$W(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^k}{\langle \xi_1 \rangle^s \langle \xi_2 \rangle^k} \frac{g(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^a \langle \sigma_1 \rangle^b \langle \sigma_2 \rangle^b} d\xi d\tau d\xi_1 d\tau_1$$

and

$$\begin{aligned} \xi_2 &= \xi - \xi_1, \quad \tau_2 = \tau - \tau_1, \\ \sigma &= \tau + \xi^2, \quad \sigma_1 = |\tau_1| - \gamma(\xi_1), \quad \sigma_2 = \tau_2 + \xi_2^2. \end{aligned} \quad (23)$$

In view of Remark 3.1, we know that  $\langle |\tau_1| - \gamma(\xi_1) \rangle \sim \langle |\tau_1| - \xi_1^2 \rangle$ . Therefore splitting the domain of integration into the regions  $\{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : \tau_1 < 0\}$  and  $\{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : \tau_1 \geq 0\}$ , it is sufficient to prove inequality (22) with  $W_1(f, g, \phi)$  and  $W_2(f, g, \phi)$  instead of  $W(f, g, \phi)$ , where

$$W_1(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^k}{\langle \xi_1 \rangle^s \langle \xi_2 \rangle^k} \frac{g(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^a \langle \tau_1 + \xi_1^2 \rangle^b \langle \sigma_2 \rangle^b} d\xi d\tau d\xi_1 d\tau_1$$

and

$$W_2(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^k}{\langle \xi_1 \rangle^s \langle \xi_2 \rangle^k} \frac{g(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^a \langle \tau_1 - \xi_1^2 \rangle^b \langle \sigma_2 \rangle^b} d\xi d\tau d\xi_1 d\tau_1.$$

Let us first treat the inequality (22) with  $W_1(f, g, \phi)$ . In this case we will make use of the following algebraic relation

$$-(\tau + \xi^2) + (\tau_1 + \xi_1^2) + ((\tau - \tau_1) + (\xi - \xi_1)^2) = 2\xi_1(\xi_1 - \xi). \quad (24)$$

By symmetry we can restrict ourselves to the set

$$A = \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |(\tau - \tau_1) + (\xi - \xi_1)^2| \leq |\tau_1 + \xi_1^2|\}.$$

First we split  $A$  into three pieces

$$\begin{aligned} A_1 &= \{(\xi, \tau, \xi_1, \tau_1) \in A : |\xi_1| \leq 10\}, \\ A_2 &= \{(\xi, \tau, \xi_1, \tau_1) \in A : |\xi_1| \geq 10 \text{ and } |2\xi_1 - \xi| \geq |\xi_1|/2\}, \\ A_3 &= \{(\xi, \tau, \xi_1, \tau_1) \in A : |\xi_1| \geq 10 \text{ and } |\xi_1 - \xi| \geq |\xi_1|/2\}. \end{aligned}$$

We have  $A = A_1 \cup A_2 \cup A_3$ . Indeed

$$|2\xi_1 - \xi| + |\xi_1 - \xi| \geq |(2\xi_1 - \xi) - (\xi_1 - \xi)| = |\xi_1|.$$

Next we divide  $A_3$  into two parts

$$\begin{aligned} A_{3,1} &= \{(\xi, \tau, \xi_1, \tau_1) \in A_3 : |\tau_1 + \xi_1^2| \leq |\tau + \xi^2|\}, \\ A_{3,2} &= \{(\xi, \tau, \xi_1, \tau_1) \in A_3 : |\tau + \xi^2| \leq |\tau_1 + \xi_1^2|\}. \end{aligned}$$

We can now define the sets  $R_i$ ,  $i = 1, 2$ , as follows

$$R_1 = A_1 \cup A_2 \cup A_{3,1} \text{ and } R_2 = A_{3,2}.$$

In what follows  $\chi_R$  denotes the characteristic function of the set  $R$ . In view of Cauchy-Schwarz and Hölder inequalities it is easy to see that

$$\begin{aligned} |W_1|^2 &\leq \|f\|_{L_{\xi, \tau}^2}^2 \|g\|_{L_{\xi, \tau}^2}^2 \|\phi\|_{L_{\xi, \tau}^2}^2 \\ &\quad \times \left\| \frac{\langle \xi \rangle^{2k}}{\langle \sigma \rangle^{2a}} \iint \frac{\chi_{R_1} d\xi_1 d\tau_1}{\langle \xi_1 \rangle^{2s} \langle \xi_2 \rangle^{2k} \langle \tau_1 + \xi_1^2 \rangle^{2b} \langle \sigma_2 \rangle^{2b}} \right\|_{L_{\xi, \tau}^\infty} \\ &\quad + \|f\|_{L_{\xi, \tau}^2}^2 \|g\|_{L_{\xi, \tau}^2}^2 \|\phi\|_{L_{\xi, \tau}^2}^2 \\ &\quad \times \left\| \frac{1}{\langle \xi_1 \rangle^{2s} \langle \tau_1 + \xi_1^2 \rangle^{2b}} \iint \frac{\chi_{R_2} \langle \xi \rangle^{2k} d\xi d\tau}{\langle \xi_2 \rangle^{2k} \langle \sigma \rangle^{2a} \langle \sigma_2 \rangle^{2b}} \right\|_{L_{\xi_1, \tau_1}^\infty}. \end{aligned}$$

Noting that  $\langle \xi \rangle^{2k} \leq \langle \xi_1 \rangle^{2|k|} \langle \xi_2 \rangle^{2k}$  for  $k \geq 0$  and  $\langle \xi_2 \rangle^{-2k} \leq \langle \xi_1 \rangle^{2|k|} \langle \xi \rangle^{-2k}$  for  $k < 0$ , we have

$$\frac{\langle \xi \rangle^{2k}}{\langle \xi_1 \rangle^{2s} \langle \xi_2 \rangle^{2k}} \leq \langle \xi_1 \rangle^{2|k|-2s}. \quad (25)$$

Therefore in view of Lemma 3.1 it suffices to get bounds for

$$\begin{aligned} J_1(\xi, \tau) &\equiv \frac{1}{\langle \sigma \rangle^{2a}} \int \frac{\langle \xi_1 \rangle^{2|k|-2s} d\xi_1}{\langle \tau + \xi^2 + 2\xi_1^2 - 2\xi\xi_1 \rangle^{2b}} \quad \text{on } R_1, \\ J_2(\xi_1, \tau_1) &\equiv \frac{\langle \xi_1 \rangle^{2|k|-2s}}{\langle \tau_1 + \xi_1^2 \rangle^{2b}} \int \frac{d\xi}{\langle \tau_1 - \xi_1^2 + 2\xi\xi_1 \rangle^{2a}} \quad \text{on } R_2. \end{aligned}$$

In region  $A_1$  we have  $\langle \xi_1 \rangle^{2|k|-2s} \lesssim 1$  and since  $a > 0$ ,  $b > 1/2$  we obtain

$$J_1(\xi, \tau) \lesssim \int_{|\xi_1| \leq 10} d\xi_1 \lesssim 1.$$

In region  $A_2$ , by the change of variables  $\eta = \tau + \xi^2 + 2\xi_1^2 - 2\xi\xi_1$  and the condition  $|2\xi_1 - \xi| \geq |\xi_1|/2$  we have

$$\begin{aligned} J_1(\xi, \tau) &\lesssim \frac{1}{\langle \sigma \rangle^{2a}} \int \frac{\langle \xi_1 \rangle^{2|k|-2s}}{|2\xi_1 - \xi| \langle \eta \rangle^{2b}} d\eta \\ &\lesssim \frac{1}{\langle \sigma \rangle^{2a}} \int \frac{\langle \xi_1 \rangle^{2|k|-2s-1}}{\langle \eta \rangle^{2b}} d\eta \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $|k| - s \leq 1/2$  and  $b > 1/2$ .

Now, by the definition of region  $A_{3,1}$  and the algebraic relation (24) we have

$$\langle \xi_1 \rangle^2 \lesssim |\xi_1|^2 \lesssim |\xi_1(\xi_1 - \xi)| \lesssim \langle \sigma \rangle.$$

Therefore by Lemma 3.1

$$\begin{aligned} J_1(\xi, \tau) &\lesssim \int \frac{\langle \xi_1 \rangle^{2|k|-2s-4a}}{\langle \tau + \xi^2 + 2\xi_1^2 - 2\xi\xi_1 \rangle^{2b}} d\xi_1 \\ &\lesssim \int \frac{1}{\langle \tau + \xi^2 + 2\xi_1^2 - 2\xi\xi_1 \rangle^{2b}} d\xi_1 \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $|k| - s \leq 2a$  and  $b > 1/2$ .

Next we estimate  $J_2(\xi_1, \tau_1)$ . Making the change of variables,  $\eta = \tau_1 - \xi_1^2 + 2\xi\xi_1$ , using the restriction in the region  $A_{3,2}$ , we have

$$|\eta| \lesssim |(\tau - \tau_1) + (\xi - \xi_1)^2| + |\tau + \xi^2| \lesssim \langle \tau_1 + \xi_1^2 \rangle.$$

Moreover, in  $A_{3,2}$

$$|\xi_1|^2 \lesssim |\xi_1(\xi_1 - \xi)| \lesssim \langle \tau_1 + \xi_1^2 \rangle.$$

Therefore, since  $|\xi_1| \geq 10$  we have

$$\begin{aligned} J_2(\xi_1, \tau_1) &\lesssim \frac{|\xi_1|^{2|k|-2s}}{\langle \tau_1 + \xi_1^2 \rangle^{2b}} \int_{|\eta| \lesssim \langle \tau_1 + \xi_1^2 \rangle} \frac{d\eta}{|\xi_1| \langle \eta \rangle^{2a}} \\ &\lesssim \frac{|\xi_1|^{2|k|-2s-1}}{\langle \tau_1 + \xi_1^2 \rangle^{2b+2a-1}} \lesssim 1 \end{aligned}$$

in view of  $a > 0$ ,  $|k| - s \leq 1/2$  and  $b > 1/2$ .

Now we turn to the proof of inequality (22) with  $W_2(f, g, \phi)$ . In the following estimates we will make use of the algebraic relation

$$-(\tau + \xi^2) + (\tau_1 - \xi_1^2) + ((\tau - \tau_1) + (\xi - \xi_1)^2) = -2\xi_1\xi. \quad (26)$$

First we split  $\mathbb{R}^4$  into four sets

$$\begin{aligned} B_1 &= \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi_1| \leq 10\}, \\ B_2 &= \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi_1| \geq 10 \text{ and } |\xi| \leq 1\}, \\ B_3 &= \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi_1| \geq 10, |\xi| \geq 1 \text{ and } |\xi| \geq |\xi_1|/2\}, \\ B_4 &= \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi_1| \geq 10, |\xi| \geq 1 \text{ and } |\xi| \leq |\xi_1|/2\}. \end{aligned}$$

Next we separate  $B_4$  into three parts

$$\begin{aligned} B_{4,1} &= \{(\xi, \tau, \xi_1, \tau_1) \in B_4 : |\tau_1 - \xi_1^2|, |(\tau - \tau_1) + (\xi - \xi_1)^2| \leq |\tau + \xi^2|\}, \\ B_{4,2} &= \{(\xi, \tau, \xi_1, \tau_1) \in B_4 : |\tau + \xi^2|, |(\tau - \tau_1) + (\xi - \xi_1)^2| \leq |\tau_1 - \xi_1^2|\}, \\ B_{4,3} &= \{(\xi, \tau, \xi_1, \tau_1) \in B_4 : |\tau_1 - \xi_1^2|, |\tau + \xi^2| \leq |(\tau - \tau_1) + (\xi - \xi_1)^2|\}. \end{aligned}$$

We can now define the sets  $S_i$ ,  $i = 1, 2, 3$ , as follows

$$S_1 = B_1 \cup B_3 \cup B_{4,1}, \quad S_2 = B_2 \cup B_{4,2} \quad \text{and} \quad S_3 = B_{4,3}.$$

Using the Cauchy-Schwarz and Hölder inequalities and duality it is easy to see that

$$\begin{aligned}
|W_2|^2 &\leq \|f\|_{L_{\xi,\tau}^2}^2 \|g\|_{L_{\xi,\tau}^2}^2 \|\phi\|_{L_{\xi,\tau}^2}^2 \\
&\times \left\| \frac{\langle \xi \rangle^{2k}}{\langle \sigma \rangle^{2a}} \iint \frac{\chi_{S_1} d\xi_1 d\tau_1}{\langle \xi_1 \rangle^{2s} \langle \xi_2 \rangle^{2k} \langle \tau_1 - \xi_1^2 \rangle^{2b} \langle \sigma_2 \rangle^{2b}} \right\|_{L_{\xi,\tau}^\infty} \\
&+ \|f\|_{L_{\xi,\tau}^2}^2 \|g\|_{L_{\xi,\tau}^2}^2 \|\phi\|_{L_{\xi,\tau}^2}^2 \\
&\times \left\| \frac{1}{\langle \xi_1 \rangle^{2s} \langle \tau_1 - \xi_1^2 \rangle^{2b}} \iint \frac{\chi_{S_2} \langle \xi \rangle^{2k} d\xi d\tau}{\langle \xi_2 \rangle^{2k} \langle \sigma \rangle^{2a} \langle \sigma_2 \rangle^{2b}} \right\|_{L_{\xi_1,\tau_1}^\infty} \\
&+ \|f\|_{L_{\xi,\tau}^2}^2 \|g\|_{L_{\xi,\tau}^2}^2 \|\phi\|_{L_{\xi,\tau}^2}^2 \\
&\times \left\| \frac{1}{\langle \xi_2 \rangle^{2k} \langle \sigma_2 \rangle^{2b}} \iint \frac{\chi_{\tilde{S}_3} \langle \xi_1 + \xi_2 \rangle^{2k} d\xi_1 d\tau_1}{\langle \xi_1 \rangle^{2s} \langle \tau_1 - \xi_1^2 \rangle^{2b} \langle \sigma \rangle^{2a}} \right\|_{L_{\xi_2,\tau_2}^\infty}.
\end{aligned}$$

where  $\sigma, \sigma_2, \xi_2, \tau_2$  are given in (23) and

$$\tilde{S}_3 \subseteq \left\{ \begin{array}{l} (\xi_2, \tau_2, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi_1| \geq 10, |\xi_1 + \xi_2| \geq 1, |\xi_1 + \xi_2| \leq |\xi_1|/2 \\ \text{and } |\tau_1 - \xi_1^2|, |(\tau_1 + \tau_2) + (\xi_1 + \xi_2)^2| \leq |\tau_2 + \xi_2^2| \end{array} \right\}.$$

Noting that  $\langle \xi_1 + \xi_2 \rangle^{2k} \leq \langle \xi_1 \rangle^{2|k|} \langle \xi_2 \rangle^{2k}$  for  $k \geq 0$  and  $\langle \xi_2 \rangle^{-2k} \leq \langle \xi_1 \rangle^{2|k|} \langle \xi_1 + \xi_2 \rangle^{-2k}$  for  $k < 0$ , we have

$$\frac{\langle \xi_1 + \xi_2 \rangle^{2k}}{\langle \xi_1 \rangle^{2s} \langle \xi_2 \rangle^{2k}} \leq \langle \xi_1 \rangle^{2|k|-2s}.$$

Therefore in view of Lemma 3.1 and (25) it suffices to get bounds for

$$\begin{aligned}
K_1(\xi, \tau) &\equiv \frac{1}{\langle \sigma \rangle^{2a}} \int \frac{\langle \xi_1 \rangle^{2|k|-2s} d\xi_1}{\langle \tau + \xi^2 - 2\xi\xi_1 \rangle^{2b}} \text{ on } S_1, \\
K_2(\xi_1, \tau_1) &\equiv \frac{\langle \xi_1 \rangle^{2|k|-2s}}{\langle \tau_1 - \xi_1^2 \rangle^{2b}} \int \frac{d\xi}{\langle \tau_1 - \xi_1^2 + 2\xi\xi_1 \rangle^{2a}} \text{ on } S_2, \\
K_3(\xi_2, \tau_2) &\equiv \frac{1}{\langle \sigma_2 \rangle^{2b}} \int \frac{\langle \xi_1 \rangle^{2|k|-2s} d\xi_1}{\langle \tau_2 + \xi_2^2 + 2\xi_1^2 + 2\xi_1\xi_2 \rangle^{2a}} \text{ on } \tilde{S}_3.
\end{aligned}$$

In region  $B_1$  we have  $\langle \xi_1 \rangle^{2|k|-2s} \lesssim 1$  and since  $a > 0, b > 1/2$  we obtain

$$K_1(\xi, \tau) \lesssim \int_{|\xi_1| \leq 10} d\xi_1 \lesssim 1.$$

In region  $B_3$  the change of variables  $\eta = \tau + \xi^2 - 2\xi\xi_1$  and the condition  $|\xi| \geq |\xi_1|/2$  imply

$$\begin{aligned} K_1(\xi, \tau) &\lesssim \frac{1}{\langle \sigma \rangle^{2a}} \int \frac{\langle \xi_1 \rangle^{2|k|-2s}}{|\xi| \langle \eta \rangle^{2b}} d\eta \\ &\lesssim \frac{\langle \xi \rangle^{2|k|-2s-1}}{\langle \sigma \rangle^{2a}} \int \frac{1}{\langle \eta \rangle^{2b}} d\eta \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $|k| - s \leq 1/2$  and  $b > 1/2$ .

Now, by definition of region  $B_{4,1}$  and the algebraic relation (26) we have

$$\langle \xi_1 \rangle \lesssim |\xi_1| \lesssim |\xi_1 \xi| \lesssim \langle \sigma \rangle.$$

Therefore the change of variables  $\eta = \tau + \xi^2 - 2\xi\xi_1$  and the condition  $|\xi| \geq 1$  we have

$$\begin{aligned} K_1(\xi, \tau) &\lesssim \frac{1}{\langle \sigma \rangle^{2a}} \int \frac{\langle \xi_1 \rangle^{2|k|-2s}}{|\xi| \langle \eta \rangle^{2b}} d\eta \\ &\lesssim \frac{\langle \sigma \rangle^{2|k|-2s-2a}}{|\xi|} \int \frac{1}{\langle \eta \rangle^{2b}} d\eta \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $|k| - s \leq a$  and  $b > 1/2$ .

Next we estimate  $K_2(\xi_1, \tau_1)$ . Making the change of variables,  $\eta = \tau_1 - \xi_1^2 + 2\xi\xi_1$  and using the restriction in the region  $B_2$ , we have

$$|\eta| \lesssim |\tau_1 - \xi_1^2| + |\xi\xi_1| \lesssim |\tau_1 - \xi_1^2| + |\xi_1|.$$

Therefore,

$$\begin{aligned} K_2(\xi_1, \tau_1) &\lesssim \frac{|\xi_1|^{2|k|-2s}}{\langle \tau_1 - \xi_1^2 \rangle^{2b}} \int_{|\eta| \lesssim \langle \tau_1 - \xi_1^2 \rangle + |\xi_1|} \frac{d\eta}{|\xi_1| \langle \eta \rangle^{2a}} \\ &\lesssim \frac{|\xi_1|^{2|k|-2s-2a}}{\langle \tau_1 - \xi_1^2 \rangle^{2b}} + \frac{|\xi_1|^{2|k|-2s-1}}{\langle \tau_1 - \xi_1^2 \rangle^{2b+2a-1}} \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $|k| - s \leq \min\{1/2, a\}$  and  $b > 1/2$ .

In the region  $B_{4,2}$ , from the algebraic relation (26) we obtain

$$\langle \xi_1 \rangle \lesssim |\xi_1| \lesssim |\xi_1 \xi| \lesssim \langle \tau_1 - \xi_1^2 \rangle.$$

Moreover, making the change of variables,  $\eta = \tau_1 - \xi_1^2 + 2\xi\xi_1$ , using the restriction in the region  $B_{4,2}$  and (26), we obtain

$$|\eta| \lesssim \langle \tau_1 - \xi_1^2 \rangle.$$

Therefore,

$$\begin{aligned} K_2(\xi_1, \tau_1) &\lesssim \frac{\langle \xi_1 \rangle^{2|k|-2s}}{\langle \tau_1 - \xi_1^2 \rangle^{2b}} \int_{|\eta| \lesssim \langle \tau_1 - \xi_1^2 \rangle} \frac{d\eta}{|\xi_1| \langle \eta \rangle^{2a}} \\ &\lesssim \frac{|\xi_1|^{2|k|-2s-1}}{\langle \tau_1 - \xi_1^2 \rangle^{2b+2a-1}} \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $|k| - s \leq 1/2$  and  $b > 1/2$ .

Finally, we estimate  $K_3(\xi_2, \tau_2)$ . In the region  $B_{4,3}$  we have by the algebraic relation (26) that

$$\langle \xi_1 \rangle \lesssim |\xi_1| \lesssim |\xi_1(\xi_1 + \xi_2)| \lesssim \langle \sigma_2 \rangle.$$

Therefore in view of Lemma 3.1 we have

$$\begin{aligned} K_3(\xi_2, \tau_2) &\lesssim \langle \sigma_2 \rangle^{2|k|-2s-2b} \int \frac{1}{\langle \tau_2 + \xi_2^2 + 2\xi_1^2 + 2\xi_1\xi_2 \rangle^{2a}} d\xi_1 \\ &\lesssim 1 \end{aligned}$$

since  $a > 1/4$ ,  $|k| - s \leq b$  and  $b > 1/2$ .

- (ii) For  $u_1 \in X_{k,b}^S$  and  $u_2 \in X_{k,b}^S$  we define  $f(\tau, \xi) \equiv \langle \tau + \xi^2 \rangle^b \langle \xi \rangle^k \tilde{u}_1(\tau, \xi)$  and  $g(\tau, \xi) \equiv \langle \tau + \xi^2 \rangle^b \langle \xi \rangle^k \tilde{u}_2(\tau, \xi)$ . By duality the desired inequality is equivalent to

$$|Z(f, g, \phi)| \leq c \|f\|_{L_{\xi,\tau}^2} \|g\|_{L_{\xi,\tau}^2} \|\phi\|_{L_{\xi,\tau}^2} \quad (27)$$

where

$$Z(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^s}{\langle \xi_1 \rangle^k \langle \xi_2 \rangle^k} \frac{h(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^a \langle \sigma_1 \rangle^b \langle \sigma_2 \rangle^b} d\xi d\tau d\xi_1 d\tau_1$$

and

$$\begin{aligned} h(\tau_1, \xi_1) &= \bar{g}(-\tau_1, -\xi_1), \quad \xi_2 = \xi - \xi_1, \quad \tau_2 = \tau - \tau_1, \\ \sigma &= |\tau| - \gamma(\xi), \quad \sigma_1 = \tau_1 - \xi_1^2, \quad \sigma_2 = \tau_2 + \xi_2^2. \end{aligned}$$



Therefore applying Lemma 3.2 and splitting the domain of integration according to the sign of  $\tau$  it is sufficient to prove inequality (27) with  $Z_1(f, g, \phi)$  and  $Z_2(f, g, \phi)$  instead of  $Z(f, g, \phi)$ , where

$$Z_1(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^s}{\langle \xi_1 \rangle^k \langle \xi_2 \rangle^k} \frac{h(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \tau + \xi^2 \rangle^a \langle \sigma_1 \rangle^b \langle \sigma_2 \rangle^b} d\xi d\tau d\xi_1 d\tau_1$$

and

$$Z_2(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^s}{\langle \xi_1 \rangle^k \langle \xi_2 \rangle^k} \frac{h(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \tau - \xi^2 \rangle^a \langle \sigma_1 \rangle^b \langle \sigma_2 \rangle^b} d\xi d\tau d\xi_1 d\tau_1.$$

**Remark 3.2** Note that  $Z_1(f, g, \phi)$  is not equal to  $W_2(f, g, \phi)$  since the powers of the terms  $\langle \xi \rangle$  and  $\langle \xi_1 \rangle$  are different.

First we treat the inequality (27) with  $Z_1(f, g, \phi)$ . In this case we will make use of the following algebraic relation

$$-(\tau + \xi^2) + (\tau_1 - \xi_1^2) + ((\tau - \tau_1) + (\xi - \xi_1)^2) = -2\xi_1\xi. \quad (28)$$

We split  $\mathbb{R}^4$  into five pieces

$$\begin{aligned} A_1 &= \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi| \leq 10 \text{ and } |\xi_1| \leq 100\}, \\ A_2 &= \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi| \leq 10 \text{ and } |\xi_1| \geq 100\}, \\ A_3 &= \{(\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi| \geq 10 \text{ and } [|\xi_1| \leq 1 \text{ or } |\xi_2| \leq 1]\}, \\ A_4 &= \left\{ \begin{array}{l} (\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi| \geq 10, |\xi_1| \geq 1, |\xi_2| \geq 1 \\ \text{and } [|\xi_1| \geq 2|\xi_2| \text{ or } |\xi_2| \geq 2|\xi_1|] \end{array} \right\}, \\ A_5 &= \left\{ \begin{array}{l} (\xi, \tau, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi| \geq 10, |\xi_1| \geq 1, |\xi_2| \geq 1 \\ \text{and } |\xi_1|/2 \leq |\xi_2| \leq 2|\xi_1| \end{array} \right\}. \end{aligned}$$

Next we separate  $A_5$  into three parts

$$\begin{aligned} A_{5,1} &= \{(\xi, \tau, \xi_1, \tau_1) \in A_5 : |\tau_1 - \xi_1^2|, |(\tau - \tau_1) + (\xi - \xi_1)^2| \leq |\tau + \xi^2|\}, \\ A_{5,2} &= \{(\xi, \tau, \xi_1, \tau_1) \in A_5 : |\tau + \xi^2|, |(\tau - \tau_1) + (\xi - \xi_1)^2| \leq |\tau_1 - \xi_1^2|\}, \\ A_{5,3} &= \{(\xi, \tau, \xi_1, \tau_1) \in A_5 : |\tau_1 - \xi_1^2|, |\tau + \xi^2| \leq |(\tau - \tau_1) + (\xi - \xi_1)^2|\}. \end{aligned}$$

Therefore by the same argument as the one used in the proof of (i) it suffices to get bounds for

$$\begin{aligned} L_1(\xi, \tau) &\equiv \frac{1}{\langle \tau + \xi^2 \rangle^{2a}} \int \frac{\langle \xi_1 \rangle^{-2k} \langle \xi_2 \rangle^{-2k} \langle \xi \rangle^{2s} d\xi_1}{\langle \tau + \xi^2 - 2\xi\xi_1 \rangle^{2b}} \quad \text{on } V_1, \\ L_2(\xi_1, \tau_1) &\equiv \frac{1}{\langle \sigma_1 \rangle^{2b}} \int \frac{\langle \xi_1 \rangle^{-2k} \langle \xi_2 \rangle^{-2k} \langle \xi \rangle^{2s} d\xi}{\langle \tau_1 - \xi_1^2 + 2\xi\xi_1 \rangle^{2a}} \quad \text{on } V_2, \\ L_3(\xi_2, \tau_2) &\equiv \frac{1}{\langle \sigma_2 \rangle^{2b}} \int \frac{\langle \xi_1 \rangle^{-2k} \langle \xi_2 \rangle^{-2k} \langle \xi \rangle^{2s} d\xi_1}{\langle \tau_2 + \xi_2^2 + 2\xi_1^2 + 2\xi_1\xi_2 \rangle^{2a}} \quad \text{on } \tilde{V}_3. \end{aligned}$$

where

$$V_1 = A_3 \cup A_4 \cup A_{5,1}, \quad V_2 = A_1 \cup A_2 \cup A_{5,2}$$

and

$$\tilde{V}_3 \subseteq \left\{ \begin{array}{l} (\xi_2, \tau_2, \xi_1, \tau_1) \in \mathbb{R}^4 : |\xi_1 + \xi_2| \geq 10, |\xi_1| \geq 1, \\ |\xi_2| \geq 1, |\xi_1|/2 \leq |\xi_2| \leq 2|\xi_1| \\ \text{and } |\tau_1 - \xi_1^2|, |(\tau_1 + \tau_2) + (\xi_1 + \xi_2)^2| \leq |\tau_2 + \xi_2^2| \end{array} \right\}.$$

First we estimate  $L_1(\xi, \tau)$ . In the regions  $A_3$  or  $A_4$  it is easy to see that  $\max\{|\xi_1|, |\xi_2|\} \sim |\xi|$ , therefore

$$\langle \xi_1 \rangle^{-k} \langle \xi_2 \rangle^{-k} \langle \xi \rangle^s \lesssim \langle \xi \rangle^{\gamma(k)}$$

where

$$\gamma(k) = \begin{cases} s + 2|k|, & \text{if } k \leq 0 \\ s - k, & \text{if } k > 0. \end{cases}$$

**Remark 3.3** Note that  $\xi = N + 1$  and  $\xi_1 = N$  belong to  $A_3$ , for all  $N \geq 100$ . In all of this cases  $|\xi_2| = 1$ . Therefore, we cannot expect, in general, that both  $|\xi_1|$  and  $|\xi_2|$  are equivalent to  $|\xi|$ . Because of this fact we define  $\gamma(k) = s - k$ , for  $k > 0$ .

Then, making the change of variables  $\eta = \tau + \xi^2 - 2\xi\xi_1$ , we have

$$L_1(\xi, \tau) \lesssim \frac{\langle \xi \rangle^{2\gamma(k)}}{\langle \tau + \xi^2 \rangle^{2a}} \int \frac{d\eta}{|\xi| \langle \eta \rangle^{2b}} \lesssim 1$$

since  $a > 0$ ,  $b > 1/2$ , and  $\gamma(k) \leq 1/2$ , that is,  $s - k \leq 1/2$ , if  $k > 0$  and  $s + 2|k| \leq 1/2$ , if  $k \leq 0$ .

In region  $A_5$  we have

$$\langle \xi_1 \rangle^{-k} \langle \xi_2 \rangle^{-k} \langle \xi \rangle^s \lesssim \langle \xi_1 \rangle^{\gamma(s,k)} \quad (29)$$

where

$$\gamma(s, k) = \begin{cases} 0, & \text{if } s \leq 0, k > 0 \\ 2|k|, & \text{if } s \leq 0, k \leq 0 \\ s - 2k, & \text{if } s > 0, k > 0 \\ s + 2|k|, & \text{if } s > 0, k \leq 0. \end{cases}$$

Moreover, the restriction in the region  $A_{5,1}$ , the condition  $|\xi| > 10$  and the algebraic relation (28) give us

$$\langle \xi_1 \rangle \lesssim |\xi_1| \lesssim |\xi_1 \xi| \lesssim \langle \tau + \xi^2 \rangle.$$

Therefore

$$\begin{aligned} L_1(\xi, \tau) &\lesssim \int \frac{\langle \xi_1 \rangle^{2\gamma(s,k)-2a} d\eta}{|\xi| \langle \eta \rangle^{2b}} \\ &\lesssim \frac{1}{|\xi|} \int \frac{d\eta}{\langle \eta \rangle^{2b}} \lesssim 1 \end{aligned}$$

if  $a > 0$ ,  $b > 1/2$  and  $\gamma(s, k) \leq a$ , that is,  $2|k| \leq a$ , if  $s \leq 0$ ,  $k \leq 0$  and  $s - 2k \leq a$ , if  $s > 0$ .

Next we estimate  $L_2(\xi_1, \tau_1)$ . In region  $A_1$  we have  $\langle \xi_1 \rangle^{-2k} \langle \xi_2 \rangle^{-2k} \langle \xi \rangle^{2s} \lesssim 1$  and since  $a, b > 0$ , we obtain

$$L_2(\xi_1, \tau_1) \lesssim \int_{|\xi| \leq 10} d\xi \lesssim 1.$$

In region  $A_2$ , we have  $|\xi_1| \sim |\xi_2|$ , therefore

$$\langle \xi_1 \rangle^{-k} \langle \xi_2 \rangle^{-k} \langle \xi \rangle^{2s} \lesssim \langle \xi_1 \rangle^{\theta(k)}.$$

where

$$\theta(k) = \begin{cases} 0, & \text{if } k > 0 \\ 2|k|, & \text{if } k \leq 0. \end{cases}$$

Making the change of variables,  $\eta = \tau_1 - \xi_1^2 + 2\xi\xi_1$ , using the restriction in the region  $A_2$ , we have

$$|\eta| \lesssim |\tau_1 - \xi_1^2| + |\xi\xi_1| \lesssim |\tau_1 - \xi_1^2| + |\xi_1|.$$

Therefore,

$$\begin{aligned} L_2(\xi_1, \tau_1) &\lesssim \frac{\langle \xi_1 \rangle^{2\theta(k)}}{\langle \tau_1 - \xi_1^2 \rangle^{2b}} \int_{|\eta| \lesssim \langle \tau_1 - \xi_1^2 \rangle + |\xi_1|} \frac{d\eta}{|\xi_1| \langle \eta \rangle^{2a}} \\ &\lesssim \frac{|\xi_1|^{2\theta(k)-2a}}{\langle \tau_1 - \xi_1^2 \rangle^{2b}} + \frac{|\xi_1|^{2\theta(k)-1}}{\langle \tau_1 - \xi_1^2 \rangle^{2b+2a-1}} \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $b > 1/2$  and  $\theta(k) \leq \min\{1/2, a\}$ , that is,  $|k| \leq \min\{1/4, a/2\}$ , if  $k \leq 0$ .

Now we turn to the region  $A_{5,2}$ . From (28) and the condition  $|\xi| > 10$  we have

$$\langle \xi_1 \rangle \lesssim |\xi_1| \lesssim |\xi_1 \xi| \lesssim \langle \tau_1 - \xi_1^2 \rangle$$

and

$$|\eta| \lesssim |\tau_1 - \xi_1^2| + |\xi \xi_1| \lesssim \langle \tau_1 - \xi_1^2 \rangle.$$

Therefore, making the change of variables,  $\eta = \tau_1 - \xi_1^2 + 2\xi\xi_1$ , and using (29), we obtain

$$\begin{aligned} L_2(\xi_1, \tau_1) &\lesssim \frac{\langle \xi_1 \rangle^{2\gamma(s,k)}}{\langle \tau_1 - \xi_1^2 \rangle^{2b}} \int_{|\eta| \lesssim \langle \tau_1 - \xi_1^2 \rangle} \frac{d\eta}{|\xi_1| \langle \eta \rangle^{2a}} \\ &\lesssim \frac{\langle \xi_1 \rangle^{2\gamma(s,k)-1}}{\langle \tau_1 - \xi_1^2 \rangle^{2b+2a-1}} \lesssim 1 \end{aligned}$$

since  $a > 0$ ,  $b > 1/2$  and  $\gamma(s, k) \leq 1/2$ .

Finally, we bound  $L_3(\xi_2, \tau_2)$ . Again, we use (28) so, in the region  $A_{5,3}$  we have  $\langle \xi_1 \rangle \lesssim \langle \sigma_2 \rangle$ . From Lemma 3.1 it follows that

$$\begin{aligned} L_3(\xi_2, \tau_2) &\lesssim \langle \sigma_2 \rangle^{2\gamma(s,k)-2b} \int \frac{1}{\langle \tau_2 + \xi_2^2 + 2\xi_1^2 + 2\xi_1\xi_2 \rangle^{2a}} d\xi_1 \\ &\lesssim 1 \end{aligned}$$

since  $a > 1/4$ ,  $b > 1/2$  and  $\gamma(s, k) \leq b$ .

Now we turn to the proof of inequality (27) with  $Z_2(f, g, \phi)$ . First we making the change of variables  $\tau_2 = \tau - \tau_1$ ,  $\xi_2 = \xi - \xi_1$  to obtain

$$\begin{aligned} Z_2(f, g, \phi) &= \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^s}{\langle \xi - \xi_2 \rangle^k \langle \xi_2 \rangle^k} \\ &\quad \times \frac{h(\tau - \tau_2, \xi - \xi_2) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \tau - \xi^2 \rangle^a \langle (\tau - \tau_2) - (\xi - \xi_2)^2 \rangle^b \langle \tau_2 + \xi_2^2 \rangle^b} d\xi d\tau d\xi_2 d\tau_2 \end{aligned}$$

then changing the variables  $(\xi, \tau, \xi_2, \tau_2) \mapsto -(\xi, \tau, \xi_2, \tau_2)$  we can rewrite  $Z_2(f, g, \phi)$  as

$$Z_2(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\langle \xi \rangle^s}{\langle \xi - \xi_2 \rangle^k \langle \xi_2 \rangle^k} \times \frac{k(\tau - \tau_2, \xi - \xi_2)l(\tau_2, \xi_2)\bar{\psi}(\tau, \xi)}{\langle \tau + \xi^2 \rangle^a \langle \tau - \tau_2 + (\xi - \xi_2)^2 \rangle^b \langle \tau_2 - \xi_2^2 \rangle^b} d\xi d\tau d\xi_2 d\tau_2$$

where

$$k(a, b) = h(-a, -b), \quad l(a, b) = f(-a, -b) \quad \text{and} \quad \psi(a, b) = \phi(-a, -b).$$

But this is exactly  $Z_1(f, g, \phi)$  with  $\xi_1, h, f, \phi$  replaced respectively by  $\xi_2, l, k, \psi$ . Since the  $L^2$ -norm is preserved under the reflection operation the result follows from the estimate for  $Z_1(f, g, \phi)$ . ■

Now we turn to the proof of the bilinear estimates with  $b < 1/2$  and  $s = 0$ .

### Proof of Theorem 1.3

(i) For  $u \in X_{0, b_1}^S$  and  $v \in X_{0, b}^B$  we define  $f(\tau, \xi) \equiv \langle \tau + \xi^2 \rangle^{b_1} \tilde{u}(\tau, \xi)$  and  $g(\tau, \xi) \equiv \langle |\tau| - \gamma(\xi) \rangle^{b_2} \tilde{v}(\tau, \xi)$ . By duality the desired inequality is equivalent to

$$|R(f, g, \phi)| \leq c \|f\|_{L_{\xi, \tau}^2} \|g\|_{L_{\xi, \tau}^2} \|\phi\|_{L_{\xi, \tau}^2} \quad (30)$$

where

$$R(f, g, \phi) = \int_{\mathbb{R}^4} \frac{g(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^{a_1} \langle \sigma_1 \rangle^{b_1} \langle \sigma_2 \rangle^{b_1}} d\xi d\tau d\xi_1 d\tau_1$$

and

$$\begin{aligned} \xi_2 &= \xi - \xi_1, & \tau_2 &= \tau - \tau_1, \\ \sigma &= \tau + \xi^2, & \sigma_1 &= |\tau_1| - \gamma(\xi_1), & \sigma_2 &= \tau_2 + \xi_2^2. \end{aligned} \quad (31)$$

Without loss of generality we can suppose that  $f, g, \phi$  are real valued and non-negative. Therefore, by Lemma 3.2 we have

$$\begin{aligned} R(f, g, \phi) &\leq \int_{\mathbb{R}^4} \frac{g(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^{a_1} \langle \tau_1 + \xi_1^2 \rangle^b \langle \sigma_2 \rangle^{b_1}} d\xi d\tau d\xi_1 d\tau_1 \\ &\quad + \int_{\mathbb{R}^4} \frac{g(\tau_1, \xi_1) f(\tau_2, \xi_2) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^{a_1} \langle \tau_1 - \xi_1^2 \rangle^b \langle \sigma_2 \rangle^{b_1}} d\xi d\tau d\xi_1 d\tau_1 \\ &\equiv R_+ + R_-. \end{aligned}$$

Applying Plancherel's identity and Hölder's inequality we obtain

$$\begin{aligned} R_{\pm} &= \int_{\mathbb{R}^2} \left( \frac{g(\tau, \xi)}{\langle \tau \pm \xi^2 \rangle^b} \right)^{\sim^{-1}} \left( \frac{f(\tau, \xi)}{\langle \tau + \xi^2 \rangle^{b_1}} \right)^{\sim^{-1}} \left( \frac{\bar{\phi}(\tau, \xi)}{\langle \tau + \xi^2 \rangle^{a_1}} \right)^{\sim^{-1}} d\xi d\tau \\ &\leq \left\| \left( \frac{g(\tau, \xi)}{\langle \tau \pm \xi^2 \rangle^b} \right)^{\sim^{-1}} \right\|_{L_{x,t}^3} \left\| \left( \frac{f(\tau, \xi)}{\langle \tau + \xi^2 \rangle^{b_1}} \right)^{\sim^{-1}} \right\|_{L_{x,t}^3} \left\| \left( \frac{\bar{\phi}(\tau, \xi)}{\langle \tau + \xi^2 \rangle^{a_1}} \right)^{\sim^{-1}} \right\|_{L_{x,t}^3}. \end{aligned}$$

Now, the fact that  $a_1, b, b_1 > 1/4$  together with Lemma 2.4 yields the result.

- (ii) For  $u_1 \in X_{0,b_1}^S$  and  $u_2 \in X_{0,b_1}^S$  we define  $f(\tau, \xi) \equiv \langle \tau + \xi^2 \rangle^{b_1} \tilde{u}_1(\tau, \xi)$  and  $g(\tau, \xi) \equiv \langle \tau + \xi^2 \rangle^{b_1} \tilde{u}_2(\tau, \xi)$ . By duality the desired inequality is equivalent to

$$|S(f, g, \phi)| \leq c \|f\|_{L_{\xi,\tau}^2} \|g\|_{L_{\xi,\tau}^2} \|\phi\|_{L_{\xi,\tau}^2} \quad (32)$$

where where

$$S(f, g, \phi) = \int_{\mathbb{R}^4} \frac{\bar{g}(\tau_2, \xi_2) f(\tau_1, \xi_1) \bar{\phi}(\tau, \xi)}{\langle \sigma \rangle^a \langle \sigma_1 \rangle^{b_1} \langle \sigma_2 \rangle^{b_1}} d\xi d\tau d\xi_1 d\tau_1$$

and

$$\begin{aligned} \xi_2 &= \xi_1 - \xi, \quad \tau_2 = \tau_1 - \tau, \\ \sigma &= |\tau| - \gamma(\xi), \quad \sigma_1 = \tau_1 + \xi_1^2, \quad \sigma_2 = \tau_2 + \xi_2^2. \end{aligned}$$

We note that the estimate above is similar to that in item (i). ■

## 4 Local Well-posedness

**Proof of Theorem 1.2.** The proof proceeds by a standard contraction principle method applied to the integral equations associated to the IVP (1). Given  $(u_0, v_0, v_1) \in H^k(\mathbb{R}) \times H^s(\mathbb{R}) \times H^{s-1}(\mathbb{R})$  and  $0 < T \leq 1$  we define the integral operators

$$\begin{aligned} \Gamma_T^S(u, v)(t) &= \theta(t) U(t) u_0 - i\theta_T(t) \int_0^t U(t-t')(vu)(t') dt' \\ \Gamma_T^B(u, v)(t) &= \theta(t) (V_c(t)v_0 + V_s(t)(v_1)_x) + \theta_T(t) \int_0^t V_s(t-t')(|u|^2)_{xx}(t') dt'. \end{aligned} \quad (33)$$

Our goal is to use the Picard fixed point theorem to find a solution of

$$\begin{aligned}\Gamma_T^S(u, v) &= u, \\ \Gamma_T^B(u, v) &= v.\end{aligned}$$

Let  $k, s$  satisfy the conditions (i) – (ii) of Theorem 1.2. It is easy to see that we can find  $\varepsilon > 0$  small enough such that for  $b = 1/2 + \varepsilon$  and  $a = 1/2 - 2\varepsilon$ , Theorem 1.1 holds. Therefore using Lemmas 2.1-2.2, Theorem 1.1 and  $0 < T \leq 1$ , we have

$$\begin{aligned}\|\Gamma_T^S(u, v)\|_{X_{k,b}^S} &\leq c \|u_0\|_{H^k} + cT^\varepsilon \|uv\|_{X_{k,-a}^S} \\ &\leq c \|u_0\|_{H^k} + cT^\varepsilon \|u\|_{X_{k,b}^S} \|v\|_{X_{s,b}^B}, \\ \|\Gamma_T^B(u, v)\|_{X_{s,b}^B} &\leq c \|v_0, v_1\|_{\mathfrak{B}^s} + cT^\varepsilon \|u\bar{u}\|_{X_{s,-a}^B} \\ &\leq c \|v_0, v_1\|_{\mathfrak{B}^s} + cT^\varepsilon \|u\|_{X_{k,b}^S}^2.\end{aligned}$$

Similarly,

$$\begin{aligned}\|\Gamma_T^S(u, v) - \Gamma_T^S(z, w)\|_{X_{k,b}^S} &\leq c T^\varepsilon \left( \|u\|_{X_{k,b}^S} \|v - w\|_{X_{s,b}^B} \right. \\ &\quad \left. + \|u - z\|_{X_{k,b}^S} \|w\|_{X_{s,b}^B} \right), \\ \|\Gamma_T^B(u, v) - \Gamma_T^B(z, w)\|_{X_{s,b}^B} &\leq c T^\varepsilon \left( \|u\|_{X_{k,b}^S} + \|z\|_{X_{k,b}^S} \right) \\ &\quad \times \|u - z\|_{X_{k,b}^S}.\end{aligned}$$

We define

$$\begin{aligned}X_{k,b}^S(d_S) &= \left\{ u \in X_{k,b}^S : \|u\|_{X_{k,b}^S} \leq d_S \right\}, \\ X_{s,b}^B(d_B) &= \left\{ v \in X_{s,b}^B : \|v\|_{X_{s,b}^B} \leq d_B \right\},\end{aligned}$$

where  $d_S = 2c\|u_0\|_{H^k}$  and  $d_B = 2c\|v_0, v_1\|_{\mathfrak{B}^s}$ .

Consider  $X_{k,b}^S(d_S) \times X_{s,b}^B(d_B)$  endowed with the sum norm. Then choosing

$$0 < T^\varepsilon \leq \frac{1}{4} \min \left\{ \frac{1}{cd_B}, \frac{d_B}{cd_S^2}, \frac{1}{c(d_S + d_B)}, \frac{1}{2cd_S} \right\} \quad (34)$$

we have that  $(\Gamma_T^S, \Gamma_T^B) : X_{k,b}^S(d_S) \times X_{s,b}^B(d_B) \rightarrow X_{k,b}^S(d_S) \times X_{s,b}^B(d_B)$  is a contraction mapping and we obtain a unique fixed point which solves the integral equation (33) for any  $T$  that satisfies (34).

**Remark 4.1** *Note that the choice of suitable values of  $a, b$  is essential for our argument. In fact, since  $1 - (a + b) = \varepsilon > 0$ , the factor  $T^\varepsilon$  can be used directly to obtain a contraction factor for  $T$  sufficient small.*

Moreover, by Lemma 2.3, we have that  $\tilde{u} = u|_{[0, T]} \in C([0, T] : H^s) \cap X_{k,b}^{S, T}$  and  $\tilde{v} = v|_{[0, T]} \in C([0, T] : H^s) \cap X_{s,b}^{B, T}$  is a solution of (12) in  $[0, T]$ .

Using an argument due to Bekiranov, Ogawa and Ponce [2] one can prove that the solution  $(u, v)$  of (12) obtained above is unique in the whole space  $X_{k,b}^{S, T} \times X_{s,b}^{B, T}$ . Finally, we remark that since we established the existence of a solution by a contraction argument, the proof that the map  $(u_0, v_0, v_1) \mapsto (u(t), v(t))$  is locally Lipschitz follows easily. ■

## 5 Global Well-posedness

**Proof of Theorem 1.4.** Let  $(u_0, v_0, v_1) \in L^2(\mathbb{R}) \times L^2(\mathbb{R}) \times H^{-1}(\mathbb{R})$  and  $0 < T \leq 1$ . Based on the integral formulation (14), we define the integral operators

$$\begin{aligned} G_T^S(u, v)(t) &= \theta(t)U_T(t)u_0 - i\theta_T(t) \int_0^t U(t-t')(vu)(t')dt' \\ G_T^B(u, v)(t) &= \theta_T(t)(V_c(t)v_0 + V_s(t)(v_1)_x) + \theta_T(t) \int_0^t V_s(t-t')(|u|^2)_{xx}(t')dt'. \end{aligned} \quad (35)$$

Therefore, applying Lemmas 2.1-2.2 and Theorem 1.3, we obtain

$$\begin{aligned} \|G_T^S(u, v)\|_{X_{0,b_1}^S} &\leq cT^{1/2-b_1}\|u_0\|_{L^2} + cT^{1-(a_1+b_1)}\|uv\|_{X_{0,-a_1}^S} \\ &\leq cT^{1/2-b_1}\|u_0\|_{L^2} + cT^{1-(a_1+b_1)}\|u\|_{X_{0,b_1}^S}\|v\|_{X_{0,b}^B}, \\ \|G_T^B(u, v)\|_{X_{0,b}^B} &\leq cT^{1/2-b}\|v_0, v_1\|_{\mathfrak{B}} + cT^{1-(a+b)}\|u\bar{u}\|_{X_{0,-a}^B} \\ &\leq cT^{1/2-b}\|v_0, v_1\|_{\mathfrak{B}} + cT^{1-(a+b)}\|u\|_{X_{0,b_1}^S}^2 \end{aligned} \quad (36)$$

and also

$$\begin{aligned} \|G_T^S(u, v) - G_T^S(z, w)\|_{X_{0,b_1}^S} &\leq cT^{1-(a_1+b_1)} \left( \|u\|_{X_{0,b_1}^S} \|v - w\|_{X_{0,b}^B} \right. \\ &\quad \left. + \|u - z\|_{X_{0,b_1}^S} \|w\|_{X_{0,b}^B} \right), \\ \|G_T^B(u, v) - G_T^B(z, w)\|_{X_{0,b}^B} &\leq cT^{1-(a+b)} \left( \|u\|_{X_{0,b_1}^S} + \|z\|_{X_{0,b_1}^S} \right) \\ &\quad \times \|u - z\|_{X_{0,b_1}^S}. \end{aligned} \quad (37)$$



We define

$$\begin{aligned} X_{0,b_1}^S(d_1) &= \left\{ u \in X_{0,b_1}^S : \|u\|_{X_{0,b_1}^S} \leq d_1 \right\}, \\ X_{0,b}^B(d) &= \left\{ v \in X_{0,b}^B : \|v\|_{X_{0,b}^B} \leq d \right\}, \end{aligned}$$

where  $d_1 = 2cT^{1/2-b_1}\|u_0\|_{L^2}$  and  $d = 2cT^{1/2-b}\|v_0, v_1\|_{\mathfrak{B}}$ .

For  $(G_T^S, G_T^B)$  to be a contraction in  $X_{0,b_1}^S(d_1) \times X_{0,b}^B(d)$  it needs to satisfy

$$d_1/2 + cT^{1-(a_1+b_1)}d_1d \leq d_1 \Leftrightarrow T^{3/2-(a_1+b_1+b)}\|v_0, v_1\|_{\mathfrak{B}} \lesssim 1, \quad (38)$$

$$d/2 + cT^{1-(a+b)}d_1^2 \leq d \Leftrightarrow T^{3/2-(a+2b_1)}\|u_0\|_{L^2}^2 \lesssim \|v_0, v_1\|_{\mathfrak{B}}, \quad (39)$$

$$2cT^{1-(a+b)}d_1 \leq 1/2 \Leftrightarrow T^{3/2-(a+b+b_1)}\|u_0\|_{L^2} \lesssim 1, \quad (40)$$

$$2cT^{1-(a_1+b_1)}d_1 \leq 1/2 \Leftrightarrow T^{3/2-(a_1+2b_1)}\|u_0\|_{L^2} \lesssim 1. \quad (41)$$

Therefore, we conclude that there exists a solution  $(u, v) \in X_{0,b_1}^S \times X_{0,b}^B$  satisfying

$$\|u\|_{X_{0,b_1}^S} \leq 2cT^{1/2-b_1}\|u_0\|_{L^2} \quad \text{and} \quad \|v\|_{X_{0,b}^B} \leq 2cT^{1/2-b}\|v_0, v_1\|_{\mathfrak{B}}. \quad (42)$$

On the other hand, applying Lemmas 2.1-2.2 we have that, in fact,  $(u, v) \in C([0, T] : L^2) \times C([0, T] : L^2)$ . Moreover, since the  $L^2$ -norm of  $u$  is conserved by the flow we have  $\|u(T)\|_{L^2} = \|u_0\|_{L^2}$ .

Now, we need to control the growth of  $\|v(t)\|_{\mathfrak{B}}$  in each time step. If, for all  $t > 0$ ,  $\|v(t)\|_{\mathfrak{B}} \lesssim \|u_0\|_{L^2}^2$  we can repeat the local well-posedness argument and extend the solution globally in time. Thus, without loss of generality, we suppose that after some number of iterations we reach a time  $t^* > 0$  where  $\|v(t^*)\|_{\mathfrak{B}} \gg \|u_0\|_{L^2}^2$ .

Hence, since  $0 < T \leq 1$ , condition (39) is automatically satisfied and conditions (38)-(41) imply that we can select a time increment of size

$$T \sim \|v(t^*)\|_{\mathfrak{B}}^{-1/(3/2-(a_1+b_1+b))}. \quad (43)$$

Therefore, applying Lemmas 2.1(b)-2.2(b) to  $v = G_T^B(u, v)$  we have

$$\|v(t^* + T)\|_{\mathfrak{B}} \leq \|v(t^*)\|_{\mathfrak{B}} + cT^{3/2-(a+2b_1)}\|u_0\|_{L^2}^2.$$

Thus, we can carry out  $m$  iterations on time intervals, each of length (43), before the quantity  $\|v(t)\|_{\mathfrak{B}}$  doubles, where  $m$  is given by

$$mT^{3/2-(a+2b_1)}\|u_0\|_{L^2}^2 \sim \|v(t^*)\|_{\mathfrak{B}}.$$

The total time of existence we obtain after these  $m$  iterations is

$$\begin{aligned} \Delta T = mT &\sim \frac{\|v(t^*)\|_{\mathfrak{B}}}{T^{1/2-(a+2b_1)}\|u_0\|_{L^2}^2} \\ &\sim \frac{\|v(t^*)\|_{\mathfrak{B}}}{\|v(t^*)\|_{\mathfrak{B}}^{-(1/2-(a+2b_1))/(3/2-(a_1+b_1+b))}\|u_0\|_{L^2}^2}. \end{aligned}$$

Taking  $a, b, a_1, b_1$  such that

$$\frac{a + 2b_1 - 1/2}{(3/2 - (a_1 + b_1 + b))} = 1$$

(for instance,  $a = b = a_1 = b_1 = 1/3$ ), we have that  $\Delta T$  depends only on  $\|u_0\|_{L^2}$ , which is conserved by the flow. Hence we can repeat this entire argument and extend the solution  $(u, v)$  globally in time.

Moreover, since in each step of time  $\Delta T$  the size of  $\|v(t)\|_{\mathfrak{B}}$  will at most double it is easy to see that, for all  $\tilde{T} > 0$

$$\|v(\tilde{T})\|_{\mathfrak{B}} \lesssim \exp((\ln 2)\|u_0\|_{L^2}^2 \tilde{T}) \max\{\|v_0, v_1\|_{\mathfrak{B}}, \|u_0\|_{L^2}\}. \quad (44)$$

■

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